

STEWART WILLIAM D  
Form 4  
May 04, 2007

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STEWART WILLIAM D

2. Issuer Name and Ticker or Trading Symbol

MKS INSTRUMENTS INC [MKSI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

05/02/2007

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

V.P. & General Manager

90 INDUSTRIAL WAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

WILMINGTON, MA 01887

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |   |
| Common                          | 05/02/2007                           |  | M                              |   | 3,750 A \$ 14.72  |  | D   |
| Common                          | 05/02/2007                           |  | M                              |   | 1,875 A \$ 16.88  |  | D   |
| Common                          | 05/02/2007                           |  | M                              |   | 15,375 A \$ 23.5  |  | D   |
| Common                          | 05/02/2007                           |  | M                              |   | 34,900 A \$ 24.5  |  | D   |
| Common                          | 05/02/2007                           |  | S                              |   | 55,900 D \$ 27.6  |  | D   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not

SEC 1474 (9-02)

required to respond unless the form displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Stock Option (right to buy)                | (1)  | 05/02/2007                           |  | M                              | 3,750   | 08/08/1988 <sup>(2)</sup> 08/08/1988 <sup>(3)</sup>      | Common  | 3,750                      |
| Stock Option (right to buy)                | (4)  | 05/02/2007                           |  | M                              | 1,875   | 08/08/1988 <sup>(2)</sup> 08/08/1988 <sup>(3)</sup>      | Common  | 1,875                      |
| Stock Option (right to buy)                | (5)  | 05/02/2007                           |  | M                              | 15,375  | 08/08/1988 <sup>(2)</sup> 08/08/1988 <sup>(3)</sup>      | Common  | 15,375                     |
| Stock Option (right to buy)                | (6)  | 05/02/2007                           |  | M                              | 34,900  | 08/08/1988 <sup>(2)</sup> 08/08/1988 <sup>(3)</sup>      | Common  | 34,900                     |

## Reporting Owners

| Reporting Owner Name / Address                                 | Relationships |           |                        |       |
|--|---------------|-----------|------------------------|-------|
|  | Director      | 10% Owner | Officer                | Other |
| STEWART WILLIAM D<br>90 INDUSTRIAL WAY<br>WILMINGTON, MA 01887 |               |           | V.P. & General Manager |       |

## Signatures

By: Joseph M.Tocci / POA 05/04/2007

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Option conversion price is \$14.72 per share for option transactions reported on this filing.fad
- (2) Stock Option Grants include multiple vest dates.
- (3) Expires 10 years after date of grant
- (4) Option conversion price is \$16.88 per share for option transactions reported on this filing.
- (5) Option conversion price is \$23.50 per share for option transactions reported on this filing.
- (6) Option conversion price is \$24.50 per share for option transactions reported on this filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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