

Edgar Filing: LOYACK JOHN R - Form 4

LOYACK JOHN R

Form 4

September 12, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

LOYACK, JOHN R  
 ALVARADO SQUARE  
 M. S. 1104  
 ALBUQUERQUE, NM 87158  
 USA

2. Issuer Name and Ticker or Trading Symbol

PNM RESOURCES, INC.  
 PNM

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

09/12/02

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)

VICE PRESIDENT, CORPORATE CONTROLLER & CHIEF ACCOUNTING OFFICER

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	A/D	Price	5. Amount of Securities Beneficially Owned at End of Month
COMMON STOCK	01/07/02	L	V 7.1149	A	\$28.8500	
COMMON STOCK	01/18/02	L	V 3.4217	A	\$29.2004	
COMMON STOCK	02/08/02	L	V 3.7915	A	\$26.35.00	
COMMON STOCK	02/21/02	L	V 3.8469	A	\$25.9700	
COMMON STOCK	03/06/02	L	V 3.6795	A	\$27.1529	
COMMON STOCK	03/20/02	L	V 3.5438	A	\$28.1930	
COMMON STOCK	04/04/02	L	V 3.2832	A	\$30.4332	

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	02								
COMMON STOCK	04/18/	L	V	3.5510	A		\$28.1362		
	02								
COMMON STOCK	05/08/	L	V	3.5057	A		\$28.5000		
	02								
COMMON STOCK	05/20/	L	V	3.6880	A		\$27.0900		
	02								
COMMON STOCK	06/06/	L	V	7.8301	A		\$25.5174		
	02								
COMMON STOCK	06/20/	L	V	4.0626	A		\$24.5900		
	02								
COMMON STIOCK	07/05/	L	V	4.2292	A		\$23.6200		
	02								
COMMON STOCK	07/15/		V	100	A				
	02								
COMMON STOCK	07/18/	L	V	5.2701	A		\$18.9500		
	02								
COMMON STOCK	08/08/	L	V	5.0063	A		\$19.95		
	02								
	08/20/	L	V	4.8423	A		\$20.6263		(1) 947
	02								

Table II -- Derivative Securitites Acquired, Disposed of, or Beneficially Owned

1.Title of Derivative Security	2.Con- version or Exer- cise Price of Deriva- tive Secu- rity	3. Transaction Date	4. Code	5.Number of De- rivative Secu- rities Acqui- red(A) or Dis- posed of(D) Amount	6.Date Exer- cisable and Expiration Date(Month/ Day/Year) Date Expir- ation Date	7.Title and Amount of Underlying Securities Title and Number of Shares	8.P of vat Sec rit
OPTIONS	\$25.75	02/18/	A	V 8,500	A   (2)  02/18/	COMMON STOCK 8,500	
		/02			/12		
PHANTON STOCK UNITS	1 FOR 1	07/31/	A	V 186	A   (3)   (3)	COMMON STOCK 186	
		/02					

Explanation of Responses:

(1) TOTAL INCLUDES 2 SHARES ACQUIRED UNDER THE PNM RESOURCES, INC. DIVIDEND REINVESTMENT PLAN AND 644 SHARES ACQUIRED UNDER THE PNM RESOURCES, INC. 401(K) PLAN. THE INFORMATION IN THIS REPORT IS BASED ON A PLAN STATEMENT DATED 7/31/02.

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(2) THE OPTION VESTS IN THREE EQUAL ANNUAL INSTALLMENTS BEGINNING FEBRUARY 18, 2003.

(3) THE PHANTOM STOCK UNITS WERE ACQUIRED UNDER PNM RESOURCES, INC. EXECUTIVE SAVINGS PLAN AND WILL BE SETTLED UPON THE REPORTING PERSON'S RETIREMENT OR OTHER TERMINATION OF SERVICE.