

Pfau Michael E.  
Form 4  
January 03, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Pfau Michael E.

2. Issuer Name **and** Ticker or Trading  
Symbol  
PACIFIC PREMIER BANCORP  
INC [PPBI]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

17901 VON KARMAN AVE.,  
SUITE 1200

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/31/2017

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

IRVINE, CA 92614

(City) (State) (Zip)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                    | V                                                                          | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                |                                                                   |
| PPBI<br>Common<br>Stock               | 12/31/2017                              |                                                             | A                                       |                                                                            | 375 <sup>(1)</sup><br><u>(2)</u>                                                                                   | A \$ 40                                                              | 30,810 <sup>(3)</sup><br>D                                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                     | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>Paid<br>for<br>Underlying<br>Securities<br>(Instr. 3) |
|-------------------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|-------------------------------------------------------------------|
| <div> <div>Code</div> <div>V</div> <div>(A)</div> <div>(D)</div> </div> |                                                                       |                                         |                                                             |                                         |                                                                                                                       |                                                                |                                                                     |                                                                   |
| Options<br>on PPBI<br>Common<br>Stock                                   | \$ 33.08                                                              |                                         |                                                             |                                         |                                                                                                                       | (4)<br>02/01/2018                                              | PPBI<br>Common<br>Stock                                             | 911                                                               |
| Options<br>on PPBI<br>Common<br>Stock                                   | \$ 12.97                                                              |                                         |                                                             |                                         |                                                                                                                       | (4)<br>02/02/2019                                              | PPBI<br>Common<br>Stock                                             | 867                                                               |
| Options<br>on PPBI<br>Common<br>Stock                                   | \$ 8.94                                                               |                                         |                                                             |                                         |                                                                                                                       | (4)<br>09/01/2020                                              | PPBI<br>Common<br>Stock                                             | 2,603                                                             |

## Reporting Owners

| Reporting Owner Name / Address                                           | Relationships |           |         |       |
|--------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                          | Director      | 10% Owner | Officer | Other |
| Pfau Michael E.<br>17901 VON KARMAN AVE., SUITE 1200<br>IRVINE, CA 92614 |               |           | X       |       |

## Signatures

Michael E. Pfau                      01/03/2018

                                          Date

\*\*Signature of  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued to the reporting person in lieu of meeting fees of \$15,000.00.
- (2) Restricted stock which vests in full on March 31, 2018.
- (3) Includes 375 shares of restricted stock subject to a vesting schedule set forth in the restricted stock grant and subject to forfeiture upon the occurrence of certain events specified in the restricted stock grant.

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(4) All options became immediately vested and exercisable as of 4/1/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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