

ENTERPRISE FINANCIAL SERVICES CORP
Form 8-K
May 22, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K
CURRENT REPORT

Pursuant to Section 13 or 15(d) of
The Securities Exchange Act of 1934
Date of Report (Date of earliest event reported)
May 21, 2014

ENTERPRISE FINANCIAL SERVICES CORP

(Exact name of registrant as specified in its charter)

Delaware 001-15373
(State or Other Jurisdiction (Commission
of Incorporation) File Number)

43-1706259
(IRS Employer
Identification No.)

150 N. Meramec, St. Louis, Missouri 63105
(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code
(314) 725-5500

Not applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
 - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
 - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
 - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Item 5.07. Submission of Matters to a Vote of Security Holders.

The annual meeting of the stockholders of the Company was held on May 21, 2014. The following proposals were submitted by the Board of Directors to a vote of the Company's stockholders and the final results of the voting on each proposal is noted below. Proxies were solicited pursuant to Regulation 14A of the Securities Exchange Act of 1934. There was no solicitation in opposition to management's nominees or proposals.

All nominees for Directors were elected with the following votes cast:

ELECTION OF DIRECTORS*

| | For | Withheld | Broker Non-Votes |
|----------------------|------------|----------|------------------|
| Peter F. Benoist | 13,063,048 | 51,499 | 2,546,173 |
| Michael A. DeCola | 13,060,537 | 54,010 | 2,546,173 |
| William H. Downey | 13,044,923 | 69,624 | 2,546,173 |
| John S. Eulich | 13,060,537 | 54,010 | 2,546,173 |
| Robert E. Guest, Jr. | 13,046,448 | 68,099 | 2,546,173 |
| James M. Havel | 13,036,793 | 77,754 | 2,546,173 |
| Judith S. Heeter | 13,054,968 | 59,579 | 2,546,173 |
| Birch M. Mullins | 13,061,248 | 53,299 | 2,546,173 |
| James J. Murphy, Jr. | 13,044,648 | 69,899 | 2,546,173 |
| Brenda D. Newberry | 13,057,539 | 57,008 | 2,546,173 |
| Sandra A. Van Trease | 12,992,628 | 121,919 | 2,546,173 |

*Vote tally for Directors is reported on a non-cumulative basis.

Proposal A, concerning the ratification of the appointment of Deloitte & Touche, LLP as the Company's independent registered public accounting firm for fiscal year 2014 was approved by the stockholders with the following votes cast:

| For | Against | Abstain | Broker Non-Votes |
|------------|---------|---------|------------------|
| 15,378,000 | 149,479 | 133,241 | — |

Proposal B, an advisory (non-binding) vote on the Company's executive compensation was approved by the stockholders with the following votes cast:

| For | Against | Abstain | Broker Non-Votes |
|------------|---------|---------|------------------|
| 12,982,126 | 77,467 | 54,954 | 2,546,173 |

Proposal C, an advisory (non-binding) vote on the frequency of the advisory vote on executive compensation, had the following votes cast by the stockholders:

| 1 Year | 2 Years | 3 Years | Abstain |
|------------|---------|---------|---------|
| 12,067,643 | 172,329 | 830,019 | 44,556 |

In light of the voting results with respect to this proposal, the Company has decided to hold a shareholder advisory vote every year to approve the compensation of the Company's named executive officers until the next advisory vote on the frequency of shareholder votes on executive compensation, which will occur no later than our Annual Meeting in 2020.

Proposal D, concerning an amendment to the certificate of incorporation, as amended, to eliminate cumulative voting was approved by the stockholders with the following votes cast:

| For | Against | Abstain | Broker Non-Votes |
|------------|---------|---------|------------------|
| 12,354,421 | 753,076 | 7,050 | 2,546,173 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

ENTERPRISE FINANCIAL SERVICES CORP

Date: May 22, 2014

By: /s/ Mark G. Ponder
Mark G. Ponder

Senior Vice President and Controller