#### Edgar Filing: UNITED NATURAL FOODS INC - Form 4/A

#### UNITED NATURAL FOODS INC

Form 4/A October 08, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

OMB APPROVAL

Number: 3235-0287

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Check this box if no longer subject to Section 16. Form 4 or

Section 16.
Form 4 or
Form 5
obligations
may continue.

SECURITIES

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person * Dorne Eric A.                     |                     |       | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>UNITED NATURAL FOODS INC<br>[UNFI] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)   |  |  |
|---|---------------------|-------|---|--|--|--|
| (Last) (First) (Middle)  C/O UNITED NATURAL FOODS, INC., 313 IRON HORSE WAY |                     |       | 3. Date of Earliest Transaction (Month/Day/Year) 09/19/2014                                 | Director 10% Owner _X_ Officer (give title Other (specify below)  SVP, Chief Information Officer   |  |  |
| PROVIDEN  | (Street) CE, RI 029 | 08    | 4. If Amendment, Date Original Filed(Month/Day/Year) 09/23/2014                             | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |
| (City)  | (State)             | (Zip) | Table I - Non-Derivative Securities Acc   | quired Disposed of or Reneficially Owned   |  |  |

| (City)                               | (State)                                 | (Zip) <b>Tab</b> l  | le I - Non-I                           | Derivative                            | Secu                         | rities Acqu         | ired, Disposed of  | , or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|---------------------------------------|------------------------------|---------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 09/19/2014                              |   | M                                      | 1,363                                 | A                            | \$ 37.82            | 3,669  | D  |   |
| Common<br>Stock                      | 09/19/2014                              |   | S                                      | 3,081                                 | D                            | \$<br>63.858<br>(1) | 588  | D  |   |
| Common<br>Stock                      |   |   |  |                                       |                              |                     | 314  | I  | See footnote (2)  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | vative Expiration Date ies (Month/Day/Year) ed ed of |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--|--|--|-----------------|---|--|
|   |   |                                      |   | Code V                                 | (A) (D)  | Date<br>Exercisable                                  | Expiration Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock Unit                            | <u>(4)</u>  | 09/19/2014                           |   | A                                      | 3,820  | <u>(5)</u>   | (5)             | Common<br>Stock   | 3,820                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 64.55<br>(6)   | 09/19/2014                           |   | A                                      | 4,630  | <u>(7)</u>   | 09/19/2024(7)   | Common<br>Stock   | 4,630                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 37.82<br>( <u>6)</u>   | 09/19/2014                           |   | M                                      | 1,363  | (8)  | 09/12/2021(8)   | Common<br>Stock   | 1,363                                  |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |

Dorne Eric A. C/O UNITED NATURAL FOODS, INC. 313 IRON HORSE WAY PROVIDENCE, RI 02908

SVP, Chief Information Officer

## **Signatures**

Lisa N'Chonon, Power-of-Attorney,

in fact 10/08/2014

\*\*Signature of Reporting Person Date

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction price listed is a weighted average. Actual sale prices for these dispositions ranged from \$63.854 to \$63.864.
  - Includes 27 shares of common stock allocated to the reporting person under the United Natural Foods, Inc. Employee Stock Ownership
- (2) Plan and 287 shares of common stock allocated to the reporting person under the United Natural Foods, Inc. 401(k) Plan's UNFI Stock Fund as of September 19, 2014.
- (3) Restricted stock units awarded September 19, 2014.
- (4) Each restricted stock unit represents the right to receive one share of United Natural Foods, Inc. common stock upon vesting in accordance with the terms of the reporting person's restricted stock unit agreement.
- (5) The restricted stock units vest in four equal annual installments beginning on the first anniversary of the date of grant.
- (6) United Natural Foods, Inc.'s closing price on the NASDAQ National Market on the date of grant.
- (7) The stock options vest and becomes exercisable in four equal annual installments beginning on the first anniversary of the date of grant and expire on the tenth anniversary of the date of grant.
- (8) The stock options vest and becomes exercisable in four equal annual installments beginning on the first anniversary of the date of grant.

#### **Remarks:**

Form 4/A filed to adjust the number of employee stock options granted on September 19, 2014 as a result of an error in the op Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.