

AMAZON COM INC
Form 4
November 18, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Jassy Andrew R

(Last) (First) (Middle)

P.O. BOX 81226

(Street)

SEATTLE, WA 98108-1226

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AMAZON COM INC [AMZN]

3. Date of Earliest Transaction (Month/Day/Year)
11/15/2014

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Senior Vice President

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| Common Stock, par value \$0.01 per share | 11/15/2014 | | M | 2,334 A | \$ 0 | 52,421 | D |
| Common Stock, par value \$0.01 per share | 11/15/2014 | | M | 5,750 A | \$ 0 | 58,171 | D |
| Common Stock, par value \$0.01 per share | 11/17/2014 | | S ⁽¹⁾ | 500 D | \$ 318.662 | 57,671 | D |

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| | | | | | | | | |
|---|------------|------------------------|-----|---|------------------------------|---------|--|---|
| value \$.01 per share | | | | | | | | |
| Common Stock, par value \$.01 per share | 11/17/2014 | <u>S⁽¹⁾</u> | 445 | D | \$ 319.8182 <u>(3)</u> | 57,226 | | D |
| Common Stock, par value \$.01 per share | 11/17/2014 | <u>S⁽¹⁾</u> | 300 | D | \$ 320.5733 <u>(4)</u> | 56,926 | | D |
| Common Stock, par value \$.01 per share | 11/17/2014 | <u>S⁽¹⁾</u> | 600 | D | \$ 321.9983 <u>(5)</u> | 56,326 | | D |
| Common Stock, par value \$.01 per share | 11/17/2014 | <u>S⁽¹⁾</u> | 400 | D | \$ 323.2975 <u>(6)</u> | 55,926 | | D |
| Common Stock, par value \$.01 per share | 11/17/2014 | <u>S⁽¹⁾</u> | 250 | D | \$ 324.038 <u>(7)</u> | 55,676 | | D |
| Common Stock, par value \$.01 per share | 11/17/2014 | <u>S⁽¹⁾</u> | 600 | D | \$ 326.7133 <u>(8)</u> | 55,076 | | D |
| Common Stock, par value \$.01 per share | 11/17/2014 | <u>S⁽¹⁾</u> | 200 | D | \$ 324.96 | 54,876 | | D |
| Common Stock, par value \$.01 per share | 11/17/2014 | <u>S⁽¹⁾</u> | 100 | D | \$ 327.85 | 54,776 | | D |
| Common Stock, par value | | | | | | 475,474 | | I Held by the reporting person's |

\$.01 per share

Amazon.com 401(k) plan account.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|---|---|----------------------------|
| Restricted Stock Unit Award | \$ 0 ⁽⁹⁾ | 11/15/2014 | | M | 2,334 | Date Exercisable: 05/15/2013 ⁽¹⁰⁾ Expiration Date: 02/15/2018 | Common Stock, par value \$.01 per share | 2,334 |
| Restricted Stock Unit Award | \$ 0 ⁽⁹⁾ | 11/15/2014 | | M | 5,750 | Date Exercisable: 05/15/2014 ⁽¹¹⁾ Expiration Date: 02/15/2016 | Common Stock, par value \$.01 per share | 5,750 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Jassy Andrew R P.O. BOX 81226 SEATTLE, WA 98108-1226 | | | Senior Vice President | |

Signatures

/s/ Andrew R. Jassy, Senior Vice
President

11/18/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$319.19 and the lowest price at which shares were sold was \$318.31.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$320.23 and the lowest price at which shares were sold was \$319.38.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$320.89 and the lowest price at which shares were sold was \$320.41.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$322.30 and the lowest price at which shares were sold was \$321.49.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$323.76 and the lowest price at which shares were sold was \$322.78.
- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$324.36 and the lowest price at which shares were sold was \$323.79.
- (8) Represents the weighted average sale price. The highest price at which shares were sold was \$327.08 and the lowest price at which shares were sold was \$326.43.
- (9) Converts into Common Stock on a one-for-one basis.
- (10) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 1,547 shares on each of May 15, 2013, August 15, 2013, and November 15, 2013; 1,546 shares on February 15, 2014; 2,334 shares on each of May 15, 2014, August 15, 2014, November 15, 2014, and February 15, 2015; 1,280 shares on each of May 15, 2015 and August 15, 2015; 1,279 shares on each of November 15, 2015 and February 15, 2016; 5,489 shares on each of May 15, 2016, August 15, 2016, November 15, 2016, and February 15, 2017; 4,231 shares on each of May 15, 2017 and August 15, 2017; and 4,230 shares on each of November 15, 2017 and February 15, 2018.
- (11) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 5,750 shares on each of May 15, 2014, August 15, 2014, November 15, 2014, February 15, 2015, May 15, 2015, August 15, 2015, November 15, 2015, and February 15, 2016.

Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

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