

SPECTRUM PHARMACEUTICALS INC  
Form 8-K  
January 17, 2003

**SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

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**FORM 8-K**

**CURRENT REPORT**

**PURSUANT TO SECTION 13 OR 15(D) OF THE  
SECURITIES AND EXCHANGE ACT OF 1934**

January 17, 2003  
Date of Report (Date of earliest event reported)

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**SPECTRUM PHARMACEUTICALS, INC.**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or other Jurisdiction  
of Incorporation)

**000-28782**  
(Commission File Number)

**93-0979187**  
(IRS Employer  
Identification Number)

**157 Technology Drive**  
**Irvine, California**  
(Address of principal executive offices)

**92618**  
(Zip Code)

**(949) 788-6700**  
(Registrant's telephone number, including area code)

**N/A**  
(Former Name or Former Address, if Changed Since Last Report)

**Item 5. Other Events**

On January 16, 2003, Spectrum Pharmaceuticals, Inc. ( Spectrum ) entered into agreements to sell an aggregate of 222,223 shares of its common stock at a negotiated purchase price per share of \$2.25 and warrants to purchase up to an aggregate of 55,555 shares of its common stock at an exercise price per share of \$3.25 to three institutional investors for an aggregate consideration of \$500,001.75. Spectrum paid fees to a finder in connection with the investors participating in this offering. The shares and warrants were issued pursuant to an effective Registration Statement on Form S-3 (Reg. No. 333-53108). A copy of the forms of Securities Purchase Agreement and Warrant are attached hereto as Exhibits 10.1 and 4.1, respectively.

**Item 7. Exhibits**

**Exhibits:**

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- 4.1 Form of Warrant
- 10.1 Form of Securities Purchase Agreement

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SPECTRUM PHARMACEUTICALS, INC.

Date: January 17, 2003

By:

/s/ Rajesh C. Shrotriya

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Name:

Rajesh C. Shrotriya, M.D.

Title:

Chairman of the Board,  
Chief Executive Officer and President

**EXHIBIT INDEX**

**Exhibits:**

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|------|---------------------------------------|
| 4.1  | Form of Warrant.                      |
| 10.1 | Form of Securities Purchase Agreement |