

CARVER BANCORP INC  
Form 8-K  
March 03, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

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FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): March 3, 2016

CARVER BANCORP, INC.  
(Exact Name of Registrant as Specified in its Charter)

|   |                                    |   |
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| Delaware<br>(State or Other Jurisdiction<br>of Incorporation) | 001-13007<br>(Commission File No.) | 13-3904174<br>(I.R.S. Employer<br>Identification No.) |
|---|------------------------------------|---|

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| 75 West 125th Street, New York, NY<br>(Address of Principal Executive Offices) | 10027-4512<br>(Zip Code) |
|--|--------------------------|

Registrant's telephone number, including area code: (212) 360-8820

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02 - Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

(c) On March 3, 2016, Carver Bancorp, Inc. (the “Company”), the holding company of Carver Federal Savings Bank (the “Bank”), announced that the Boards of Directors of the Company and the Bank appointed Christina L. Maier as Chief Financial Officer of the Company and the Bank, effective March 7, 2016. Ms. Maier comes to the Company from Patriot National Bancorp, Inc. where she had served as Executive Vice President and Chief Financial Officer since 2013. Prior to her time with Patriot National Bancorp, Inc., Ms. Maier spent over a decade in leadership positions at other financial institutions, including Provident New York Bancorp and Hudson United Bancorp.

The Company’s press release announcing Ms. Maier’s appointment is attached hereto as exhibit 99.1 to this Current Report on Form 8-K.

Item 9.01 Financial Statements and Exhibits

- (a) Financial statements of businesses acquired. Not Applicable.
- (b) Pro forma financial information. Not Applicable.
- (c) Shell company transactions: Not Applicable.
- (d) Exhibits

The following exhibit is filed as part of this report:

99.1 Carver Bancorp, Inc. press release regarding the appointment of Christina L. Maier as Chief Financial Officer dated March 3, 2016

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

DATE: March 3, 2016

BY: /s/Michael T. Pugh  
Michael T. Pugh  
President and Chief Executive  
Officer