

DOYLE WILLIAM J  
Form 4  
January 03, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DOYLE WILLIAM J

2. Issuer Name and Ticker or Trading Symbol  
WINTRUST FINANCIAL CORP [WTFC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

9700 WEST HIGGINS ROAD 8TH FLOOR

01/06/2017

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

ROSEMONT, IL 60018

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price (A) or (D)  |  |                                   |
| Common Stock                    | 01/06/2017                           |  | P                              |   | 24 <sup>(1)</sup> A \$ 71.99  | 928  | D                                 |
| Common Stock                    | 01/19/2017                           |  | P                              |   | 5 <sup>(1)</sup> A \$ 68.2  | 933  | D                                 |
| Common Stock                    | 01/20/2017                           |  | P                              |   | 9 <sup>(1)</sup> A \$ 70.75   | 942  | D                                 |
| Common Stock                    | 02/16/2017                           |  | P                              |   | 6 <sup>(1)</sup> A \$ 75.26   | 948  | D                                 |
| Common Stock                    | 03/20/2017                           |  | P                              |   | 22 <sup>(1)</sup> A \$ 71.87  | 970  | D                                 |

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|              |            |   |                |   |          |       |   |
|--------------|------------|---|----------------|---|----------|-------|---|
| Common Stock | 04/05/2017 | P | 11 <u>(1)</u>  | A | \$ 68.48 | 981   | D |
| Common Stock | 04/06/2017 | P | 5 <u>(1)</u>   | A | \$ 68.35 | 986   | D |
| Common Stock | 04/24/2017 | P | 15 <u>(1)</u>  | A | \$ 72.28 | 1,001 | D |
| Common Stock | 05/04/2017 | P | 3 <u>(1)</u>   | A | \$ 72.44 | 1,004 | D |
| Common Stock | 05/12/2017 | P | 11 <u>(1)</u>  | A | \$ 70.41 | 1,015 | D |
| Common Stock | 05/18/2017 | P | 4 <u>(1)</u>   | A | \$ 69.38 | 1,019 | D |
| Common Stock | 08/11/2017 | P | 4 <u>(1)</u>   | A | \$ 73.35 | 1,023 | D |
| Common Stock | 11/06/2017 | P | 7 <u>(1)</u>   | A | \$ 80.65 | 1,030 | D |
| Common Stock | 12/27/2017 | P | 5 <u>(1)</u>   | A | \$ 83    | 1,035 | D |
| Common Stock | 12/31/2017 | A | 328 <u>(2)</u> | A | \$ 78.31 | 1,363 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |
|  |  |                                      |  |                                |   | Code   | V (A) (D)   |  |   |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| DOYLE WILLIAM J<br>9700 WEST HIGGINS ROAD 8TH FLOOR<br>ROSEMONT, IL 60018 | X             |           |         |       |

## Signatures

/s/Kathleen M. Boege,  
Attorney-in-fact

01/03/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  
Reporting Person recently was advised of purchases of Issuer's common stock made through an externally management brokerage account during 2017 (131 shares in total). All such purchases were directed and entered into by the account manager; Reporting Person did not have any discretion over investment decisions relating to the account.
- (2) Shares earned for the fourth quarter of 2017 for services as a Director of the Company in accordance with the Director's Deferred Fee and Stock Plan approved by the Shareholders.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.