

TOMPKINS FINANCIAL CORP  
 Form 5  
 February 14, 2008

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL  
 OMB Number: 3235-0362  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**HARTZ GREGORY J**

2. Issuer Name and Ticker or Trading Symbol  
**TOMPKINS FINANCIAL CORP  
 [TMP]**

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Pres & CEO, Tompkins Trust Co.

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 12/31/2007

P.O. BOX 460  
 (Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting  
 (check applicable line)

ITHACA, NY 14851

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock - 401K/ESOP	Â	Â	Â	Â	Â	Â	1,072	D	Â
Common Stock - IRA	Â	Â	Â	Â	Â	Â	731	D	Â
Common Stock DRIP	Â	Â	Â	Â	Â	Â	3	I	by Daughter 2

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 5

Common Stock DRIP	Â	Â	Â	Â	Â	Â	3	I	by Daughter
Common Stock DRIP	Â	Â	Â	Â	Â	Â	26	I	by Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
					(A)	(D)	Date Exercisable	Expiration Date	Title	
Incentive Stock Option (right to buy)	\$ 39.25	Â	Â	Â	Â	Â	Â <u>(1)</u>	09/16/2013	Common Stock	1,811
Incentive Stock Option (right to buy)	\$ 39.34	Â	Â	Â	Â	Â	Â <u>(1)</u>	05/03/2014	Common Stock	2,721
Incentive Stock Option (right to buy)	\$ 41	Â	Â	Â	Â	Â	Â <u>(2)</u>	11/29/2017	Common Stock	1,951
Incentive Stock Option (right to buy)	\$ 42.39	Â	Â	Â	Â	Â	Â <u>(2)</u>	01/23/2016	Common Stock	5,501
Incentive Stock Option (right to buy)	\$ 43.51	Â	Â	Â	Â	Â	Â <u>(2)</u>	01/18/2017	Common Stock	7,501
Non-Qualified Stock Option (right to buy)	\$ 41	Â	Â	Â	Â	Â	Â <u>(2)</u>	11/29/2017	Common Stock	8,041

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARTZ GREGORY J P.O. BOX 460 ITHACA, NY 14851	Â	Â	Â Pres & CEO, Tompkins Trust Co.	Â

## Signatures

Gregory J. Hartz                      02/14/2008

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option becomes exercisable in four annual installments commencing two years after the date of grant.
  - (2) The stock option becomes exercisable in six annual installments commencing two years after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.