

Wozniak Kurt F  
Form 4  
August 17, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Wozniak Kurt F

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
205 CROSSPOINT PARKWAY  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/15/2017

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
VP - Americas

GETZVILLE, NY 14068

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					25,425.8861	D	
Common Stock	08/15/2017		M	3,335 A	\$ 13.43 28,760.8861	D	
Common Stock	08/15/2017		S	3,335 D	\$ 31.77 25,425.8861	D	
Common Stock	08/15/2017		M	356 A	\$ 28.45 25,781.8861	D	
Common Stock	08/15/2017		S	356 D	\$ 31.77 25,425.8861	D	

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Common Stock	08/15/2017	M	1,777	A	\$ 18.24	27,202.8861	D
Common Stock	08/15/2017	S	1,777	D	\$ 31.77	25,425.8861	D
Common Stock	08/15/2017	M	1,809	A	\$ 19.5	27,234.8861	D
Common Stock	08/15/2017	S	1,809	D	\$ 31.77	25,425.8861	D
Common Stock	08/15/2017	M	6,788	A	\$ 18.95	32,213.8861	D
Common Stock	08/15/2017	S	224	D	\$ 31.77	31,989.8861	D
Common Stock	08/15/2017	S	5,704	D	\$ 31.46	26,285.8861 (1)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 13.43	08/15/2017		M	3,335	05/21/2013 05/20/2022	Common Stock	3,335
Non-Qualified Stock Options (Right to Buy)	\$ 28.45	08/15/2017		M	356	05/19/2011 05/18/2018	Common Stock	356
Non-Qualified Stock Options (Right to Buy)	\$ 18.24	08/15/2017		M	1,777	05/17/2011 05/16/2020	Common Stock	1,777

Non-Qualified Stock Options (Right to Buy)	\$ 19.5	08/15/2017	M	1,809	05/23/2012	05/22/2021	Common Stock	1,8
Non-Qualified Stock Options (Right to Buy)	\$ 18.95	08/15/2017	M	6,788	05/20/2014	05/20/2023	Common Stock	6,7

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Wozniak Kurt F 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068			VP - Americas	

## Signatures

Kurt F. Wozniak                      08/17/2017  
 \*\*Signature of                      Date  
 Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 10,464.8861 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 753.9775 shares become fully vested and non-forfeitable on 5/19/2018; 1,766.3104 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/18/2018; 4,338.5982 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2018; and the remaining 3,606 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2018, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.