

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

SWISS HELVETIA FUND, INC.

Form NSAR-A

August 28, 2012

PAGE 1
000 A000000 06/30/2012
000 C000000 0000813623
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE SWISS HELVETIA FUND, INC.
001 B000000 811-05128
001 C000000 8887947700
002 A000000 1270 AVENUE OF THE AMERICAS SUITE 400
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10020
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 HOTTINGER CAPITAL CORP.
008 B000001 A
008 C000001 801-30025
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10020
010 A000001 CITI FUND SERVICES OHIO, INC.
010 B000001 085-11408
010 C010001 COLUMBUS
010 C020001 OH
010 C030001 43219
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 BANQUE HOTTINGER & CIE SA
014 B000001 8-062959
015 A000001 CITIBANK, N.A.
015 B000001 C
015 C010001 New York
015 C020001 NY
015 C030001 10043
015 E040001 X
PAGE 2
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 MERRILL LYNCH
020 B000001 13-3180818
020 C000001 55

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

020 A000002 HELVEA INC.
 020 B000002 98-0511107
 020 C000002 49
 020 A000003 BRYAN GARNIER SECURITIES, LLC
 020 B000003 90-0519662
 020 C000003 44
 020 A000004 BANK AM BELLEVUE
 020 C000004 31
 020 A000005 VONTOBEL SECURITIES LTD
 020 B000005 13-4350122
 020 C000005 23
 020 A000006 MORGAN STANLEY & CO INC.
 020 B000006 13-2655998
 020 C000006 22
 020 A000007 HOTTINGER & CIE
 020 C000007 20
 020 A000008 MAINFIRST SECURITIES US INC.
 020 B000008 42-1768153
 020 C000008 19
 020 A000009 KEPLER CAPITAL MARKETS, INC.
 020 B000009 20-3639425
 020 C000009 15
 020 A000010 JP MORGAN
 020 B000010 13-2224016
 020 C000010 4
 021 000000 282
 022 A000001 Zurmont Madison Private Equity LP
 022 C000001 2003
 022 D000001 0
 022 A000002 Ixodes AG
 022 C000002 617
 022 D000002 0
 023 C000000 2620
 023 D000000 0
 024 000000 N
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 Y
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 PAGE 3
 028 A010000 0
 028 A020000 0
 028 A030000 0
 028 A040000 0
 028 B010000 0
 028 B020000 0
 028 B030000 0
 028 B040000 0
 028 C010000 0
 028 C020000 0
 028 C030000 0
 028 C040000 0
 028 D010000 0
 028 D020000 0
 028 D030000 0
 028 D040000 0

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
	PAGE	4
047	000000	Y
048	000000	0.000
048	A010000	60000
048	A020000	1.000
048	B010000	40000
048	B020000	0.900
048	C010000	100000
048	C020000	0.800
048	D010000	100000
048	D020000	0.700
048	E010000	100000
048	E020000	0.650
048	F010000	100000
048	F020000	0.600
048	G010000	100000
048	G020000	0.550
048	H010000	200000
048	H020000	0.500
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	800000
048	K020000	0.450
049	000000	N
050	000000	N

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 N
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 N
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 N
 PAGE 5
 060 B000000 N
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 Y
 066 D000000 N
 066 E000000 N
 066 F000000 N
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 Y
 069 000000 N
 070 A010000 Y
 070 A020000 N
 070 B010000 Y

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

070	B020000	N	
070	C010000	Y	
070	C020000	N	
070	D010000	Y	
070	D020000	N	
070	E010000	N	
070	E020000	N	
070	F010000	N	
070	F020000	N	
070	G010000	N	
070	G020000	N	
070	H010000	N	
070	H020000	N	
070	I010000	N	
070	I020000	N	
	PAGE	6	
070	J010000	Y	
070	J020000	Y	
070	K010000	Y	
070	K020000	Y	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	Y	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	N	
070	R020000	N	
071	A000000		61849
071	B000000		83434
071	C000000		366378
071	D000000		17
072	A000000		6
072	B000000		235
072	C000000		9970
072	D000000		0
072	E000000		0
072	F000000		1449
072	G000000		135
072	H000000		0
072	I000000		18
072	J000000		47
072	K000000		0
072	L000000		49
072	M000000		459
072	N000000		0
072	O000000		0
072	P000000		0
072	Q000000		53
072	R000000		34
072	S000000		253
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		184
072	X000000		2681

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

072 Y000000 0
072 Z000000 7524
072AA000000 0
072BB000000 495
072CC010000 0

PAGE 7

072CC020000 1011
072DD010000 0
072DD020000 0
072EE000000 0
073 A010000 0.0000
073 A020000 0.0000
073 B000000 0.0000
073 C000000 0.0000
074 A000000 546
074 B000000 0
074 C000000 0
074 D000000 6064
074 E000000 8241
074 F000000 316307
074 G000000 0
074 H000000 0
074 I000000 13585
074 J000000 2880
074 K000000 0
074 L000000 2949
074 M000000 12178
074 N000000 362750
074 O000000 0
074 P000000 320
074 Q000000 0
074 R010000 0
074 R020000 0
074 R030000 0
074 R040000 203
074 S000000 0
074 T000000 362227
074 U010000 30969
074 U020000 0
074 V010000 11.70
074 V020000 0.00
074 W000000 0.0000
074 X000000 563
074 Y000000 0
075 A000000 0
075 B000000 369070
076 000000 10.13

077 A000000 Y
077 B000000 N
077 C000000 Y
077 D000000 N
077 E000000 N
077 F000000 N
077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N

PAGE 8

077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-A

077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 C000000 0
081 B000000 0
082 B000000 0
083 B000000 0
084 B000000 0
086 A010000 1167
086 A020000 12344
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 THE SWISS HELVETIA FUND, INC.
087 A020000 870875101
087 A030000 SWZ
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE SCOTT RHODES
TITLE ASSISTANT TREASURER