

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND

Form NSAR-B

April 21, 2010

PAGE 1  
000 B000000 02/28/2010  
000 C000000 0001214935  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND  
001 B000000 811-21284  
001 C000000 2127393371  
002 A000000 1345 AVENUE OF AMERICAS  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10105  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
007 C011100 11  
007 C011200 12  
007 C011300 13  
007 C011400 14  
007 C011500 15  
007 C011600 16  
007 C011700 17  
007 C011800 18  
007 C011900 19  
007 C012000 20  
007 C012100 21  
007 C012200 22  
007 C012300 23  
007 C012400 24  
007 C012500 25  
007 C012600 26  
007 C012700 27  
007 C012800 28  
007 C012900 29  
PAGE 2  
007 C013000 30  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-57798  
008 D010001 NEW YORK  
008 D020001 NY

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

008 D030001 10105  
008 A000002 NICHOLAS-APPLEGATE CAPITAL MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-21442  
008 D010002 SAN DIEGO  
008 D020002 CA  
008 D030002 92101  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-57798  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10105  
012 A000001 PNC GLOBAL INVESTMENT SERVICING  
012 B000001 84-58023  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10017  
014 A000002 NICHOLAS-APPLEGATE SECURITIES LLC  
014 B000002 8-045406  
014 A000003 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC  
014 B000003 8-041811  
014 A000004 ALLIANZ LIFE FINANCIAL SERVICES LLC  
014 B000004 8-013630  
014 A000005 QUESTAR CAPITAL CORP.  
014 B000005 8-050174  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 78  
019 C000000 ALLIANZGLO  
020 A000001 WELLS FARGO & CO.  
020 B000001 41-1508325  
020 C000001 23  
020 A000002 INSTINET  
020 B000002 13-2625132  
PAGE 3  
020 C000002 19  
020 A000003 THE GOLDMAN SACHS GROUP, INC.  
020 B000003 13-4019460  
020 C000003 16  
020 A000004 KEYBANC CAPITAL MARKETS, INC.  
020 B000004 34-1391952  
020 C000004 12  
020 A000005 BANK OF AMERICA CORP.  
020 B000005 56-0906609  
020 C000005 12  
020 A000006 JEFFERIES & COMPANY, INC.  
020 B000006 95-4719745  
020 C000006 11  
020 A000007 CITIGROUP, INC.  
020 B000007 52-1568099  
020 C000007 11

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

020 A000008 WILLIAM BLAIR & CO., LLC  
020 B000008 36-2214610  
020 C000008 11  
020 A000009 JONESTRADING INSTITUTIONAL SECURITIES LLC  
020 B000009 51-0484896  
020 C000009 10  
020 A000010 LAZARD FRERES & CO., LLC  
020 B000010 13-5545100  
020 C000010 9  
021 000000 265  
022 A000001 BANK OF AMERICA CORP.  
022 B000001 56-0906609  
022 C000001 90953  
022 D000001 24818  
022 A000002 CREDIT SUISSE GROUP  
022 B000002 13-5659485  
022 C000002 64641  
022 D000002 40579  
022 A000003 THE GOLDMAN SACHS GROUP, INC.  
022 B000003 13-4019460  
022 C000003 39738  
022 D000003 36501  
022 A000004 BARCLAYS CAPITAL  
022 B000004 05-0346412  
022 C000004 23653  
022 D000004 47648  
022 A000005 JPMORGAN CHASE & CO.  
022 B000005 13-2624428  
022 C000005 53035  
022 D000005 15584  
022 A000006 CITIGROUP, INC.  
022 B000006 52-1568099  
022 C000006 41182  
022 D000006 17574  
022 A000007 MORGAN STANLEY & CO., INC.  
PAGE 4  
022 B000007 13-2655998  
022 C000007 28043  
022 D000007 16558  
022 A000008 DEUTSCHE BANK SECURITIES, INC.  
022 B000008 13-2730828  
022 C000008 23220  
022 D000008 16140  
022 A000009 WELLS FARGO & CO.  
022 B000009 41-1508325  
022 C000009 16327  
022 D000009 6192  
022 A000010 BROADPOINT CAPITAL, INC.  
022 B000010 11-2657332  
022 C000010 3445  
022 D000010 15103  
023 C000000 435012  
023 D000000 316751  
024 000000 Y  
025 A000001 BANK OF AMERICA CORP.  
025 B000001 56-0906609  
025 C000001 E  
025 D000001 31335  
025 A000002 CREDIT SUISSE GROUP  
025 B000002 13-5659485  
025 C000002 E  
025 D000002 26992

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

025 A000003 JPMORGAN CHASE & CO.  
025 B000003 13-2624428  
025 C000003 E  
025 D000003 18259  
025 A000004 WELLS FARGO & CO.  
025 B000004 41-1508325  
025 C000004 E  
025 D000004 12437  
025 A000005 CITIGROUP, INC.  
025 B000005 52-1568099  
025 C000005 E  
025 D000005 10214  
025 A000006 WELLS FARGO & CO.  
025 B000006 41-1508325  
025 C000006 D  
025 D000006 5234  
025 D000007 0  
025 D000008 0  
026 A000000 N  
026 B000000 N  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
PAGE 5  
026 G020000 N  
026 H000000 N  
027 000000 N  
028 A010000 0  
028 A020000 0  
028 A030000 0  
028 A040000 0  
028 B010000 0  
028 B020000 0  
028 B030000 0  
028 B040000 0  
028 C010000 0  
028 C020000 0  
028 C030000 0  
028 C040000 0  
028 D010000 0  
028 D020000 0  
028 D030000 0  
028 D040000 0  
028 E010000 0  
028 E020000 0  
028 E030000 0  
028 E040000 0  
028 F010000 0  
028 F020000 0  
028 F030000 0  
028 F040000 0  
028 G010000 0  
028 G020000 0  
028 G030000 0  
028 G040000 0  
028 H000000 0  
030 A000000 0  
030 B000000 0.00  
030 C000000 0.00  
031 A000000 0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
	PAGE 6	
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.700
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

054 O000000 N  
055 A000000 N  
055 B000000 N  
056 000000 Y  
057 000000 N  
PAGE 7  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 Y  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.5  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 47.7  
062 Q000000 23.6  
062 R000000 26.3  
063 A000000 0  
063 B000000 7.3  
064 A000000 N  
064 B000000 Y  
065 000000 N  
066 A000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 N  
070 I020000 N  
PAGE 8  
070 J010000 Y  
070 J020000 Y  
070 K010000 Y  
070 K020000 N

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

070	L010000	Y	
070	L020000	N	
070	M010000	N	
070	M020000	N	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		504056
071	B000000		513270
071	C000000		873555
071	D000000		58
072	A000000	12	
072	B000000		73164
072	C000000		12340
072	D000000		0
072	E000000		32
072	F000000		6338
072	G000000		0
072	H000000		0
072	I000000		37
072	J000000		137
072	K000000		0
072	L000000		163
072	M000000		81
072	N000000		0
072	O000000		0
072	P000000		0
072	Q000000		0
072	R000000		101
072	S000000		97
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		686
072	X000000		7640
072	Y000000		0
072	Z000000		77896
072AA	000000		0
072BB	000000		111956
072CC	010000		403758
	PAGE	9	
072CC	020000		0
072DD	010000		78665
072DD	020000		0
072EE	000000		0
073	A010000		1.0800
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000
074	A000000		0
074	B000000		0
074	C000000		5234
074	D000000		713731
074	E000000		263387
074	F000000		0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

074	G000000	0
074	H000000	0
074	I000000	0
074	J000000	11439
074	K000000	0
074	L000000	15751
074	M000000	21
074	N000000	1009563
074	O000000	815
074	P000000	533
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	6807
074	S000000	357000
074	T000000	644408
074	U010000	73257
074	U020000	0
074	V010000	8.80
074	V020000	0.00
074	W000000	0.0000
074	X000000	107
074	Y000000	0
075	A000000	0
075	B000000	905415
076	000000	9.39
077	A000000	Y
077	B000000	Y
077	C000000	Y
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
	PAGE	10
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	GREAT AMERICAN INSURANCE GROUP
080	B000000	NATIONAL UNION FIRE INSURANCE COMPANY
080	C000000	57500
081	A000000	Y
081	B000000	77
082	A000000	Y
082	B000000	250
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0



Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	65370F101
087	A030000	NCV
087	B010000	PREFERRED SHARES SERIES A
087	B020000	65370F200
087	C010000	PREFERRED SHARES SERIES B
087	C020000	65370F309
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	Y
SIGNATURE	LAWRENCE ALTADONNA	
TITLE	TREASURER & CEO	