## Edgar Filing: Ross Harvey - Form 4

| Form 4  |   |  |  |             |                 |   |   |   |                  |          |  |
|---|---|--|--|-------------|-----------------|---|---|---|------------------|----------|--|
| December 11,  | _   |  |  |             |                 |   |   |   |                  | PPROVAL  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549  |   |  |  |             |                 |   |   | -   | 3235-0287        |          |  |
| Check this<br>if no longe<br>subject to<br>Section 16<br>Form 4 or<br>Form 5<br>obligation<br>may contin<br>See Instruc | F CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Section 16(a) of the Securities Exchange Act of 1934,<br>Public Utility Holding Company Act of 1935 or Section<br>of the Investment Company Act of 1940 |  |  |             |                 |   | January 31<br>Expires: 200<br>Estimated average<br>burden hours per<br>response 0.3 |   |                  |          |  |
| 1(b).   | ecnoncec)   |  |  |             |                 |   |   |   |                  |          |  |
| <ul> <li>(Print or Type Responses)</li> <li>1. Name and Address of Reporting Person <u>*</u><br/>Ross Harvey</li> </ul> |   |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>EMERGING VISION INC<br>[ISEE.OB]                        |             |                 |   |   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable) |                  |          |  |
| (Last) (First) (Middle)<br>3140 ROUTE 22 WEST   |   |  | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>12/04/2006  |             |                 |   |   | _X_Director10% Owner<br>Officer (give titleOther (specify<br>below)below)     |                  |          |  |
|   | 4. If Amendment, Date Original Filed(Month/Day/Year)  |  |  |             |                 | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul> |   |   |                  |          |  |
| SOMERVIL  | LE, NJ 08876  |  |  |             |                 |   |   | Form filed by M<br>Person   | More than One Re | eporting |  |
| (City)  | (State)   | (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |             |                 |   |   |   |                  |          |  |
| 1.Title of<br>Security<br>(Instr. 3)  | ecurity (Month/Day/Year) Execution D  |  | n Date, if TransactionAcquired (A) or<br>Code Disposed of (D)<br>Day/Year) (Instr. 8) (Instr. 3, 4 and 5)<br>(A) |             |                 | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)  | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)                | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)             |                  |          |  |
| Common<br>Stock   | 12/04/2006  |  |  | Code V<br>P | Amount<br>1,000 | or<br>(D)<br>A  | Price<br>\$<br>180  | (Instr. 3 and 4)<br>2,696,188   | D                |          |  |
| Common<br>Stock   | 12/08/2006  |  |  | Р           | 5,000           | A   | \$<br>900   | 2,701,188   | D                |          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Unde<br>Secur | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|---------------|--|---|---|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title         | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| Reporting Owner Name / Address                            | Relationships |            |         |       |  |  |  |  |
|---|---------------|------------|---------|-------|--|--|--|--|
|   | Director      | 10% Owner  | Officer | Other |  |  |  |  |
| Ross Harvey<br>3140 ROUTE 22 WEST<br>SOMERVILLE, NJ 08876 | Х             |            |         |       |  |  |  |  |
| Signatures  |               |            |         |       |  |  |  |  |
| Harvey Ross, by Brian Alessi, in fact                     | ey            | 12/11/2006 |         |       |  |  |  |  |
| <u>**</u> Signature of Reporting Per                      |               | Date       |         |       |  |  |  |  |
| Evaluation of Responses:                                  |               |            |         |       |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.