#### Edgar Filing: MCGILL FREDERIC R - Form 4/A

MCGILL FREDERIC R Form 4/A March 21, 2006

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287 January 31,

Expires:

2005

0.5

Estimated average burden hours per

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

| 1. Name and Ad<br>MCGILL FR          | Symbol<br>BROAD                      | 2. Issuer Name <b>and</b> Ticker or Trading Symbol BROADWAY FINANCIAL CORP \DE\ [BYFC] |   |   |                    | 5. Relationship of Reporting Person(s) to<br>Issuer  (Check all applicable)  |  |  |   |
|--------------------------------------|--------------------------------------|--|---|---|--------------------|--|--|--|---|
| (Last) 7021 GREEN                    | (First) (Mi                          | (Month/Da  | 3. Date of Earliest Transaction (Month/Day/Year) 07/21/2004 |   |                    | _X_ Director<br>Officer (giv<br>below)   |  | Owner or (specify  |   |
| WHITTIER,                            | Filed(Mon                            | 4. If Amendment, Date Original Filed(Month/Day/Year) 01/20/2006                        |   |   |                    | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |   |
| (City)                               | (State) (Z                           | Zip) Table   | e I - Non-D   | erivative S                                       | Securi             | ties Ac  | quired, Disposed   | of, or Beneficia   | lly Owned   |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8)                     | 4. Securi<br>onAcquirec<br>Disposec<br>(Instr. 3, | l (A) o<br>l of (D | ))   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 07/21/2004                           |  | A   | 804   | A                  | \$0  | 804  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: MCGILL FREDERIC R - Form 4/A

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |  |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|--|--|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |
| Stock<br>Option                                     | \$ 11.99  | 07/21/2004                           |   | A                                      | 1,784   | <u>(1)</u>   | 07/21/2014         | Common<br>Stock   | 1,784                                  |  |

De Sec (In

# **Reporting Owners**

| Reporting Owner Name / Address                                   | Relationships |           |               |  |  |  |
|--|---------------|-----------|---------------|--|--|--|
| 1 0  | Director      | 10% Owner | Officer Other |  |  |  |
| MCGILL FREDERIC R<br>7021 GREENLEAF AVENUE<br>WHITTIER, CA 90602 | X             |           |               |  |  |  |

# **Signatures**

Frederic R.
McGill

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest in five annual installments beginning on July 21, 2005; the first installment relates to 357 shares of common stock and each of the subsequent installments relates to thereafter 356 shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2