

VFINANCE INC
Form 3
February 05, 2003

OMB APPROVAL
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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940**

1. Name and Address of Reporting Person* Kacer, Mark <i>(Last) (First) (Middle)</i> c/o vFinance, Inc. 3010 Military Trail, Suite 300 <i>(Street)</i> Boca Raton, FL 33431 <i>(City) (State) (Zip)</i>	2. Date of Event Requiring Statement <i>(Month/Day/Year)</i> February 3, 2003 	3. I.R.S. Identification Number of Reporting Person, if an entity <i>(Voluntary)</i>
4. Issuer Name and Ticker or Trading Symbol vFinance, Inc. (VFIN) 	5. Relationship of Reporting Person(s) to Issuer <i>(Check All Applicable)</i> ☐ Director ☐ 10% Owner ☒ Officer <i>(give title below)</i> ☐ Other <i>(specify below)</i> Chief Financial Officer and Executive Vice President, Administration 	
6. If Amendment, Date of Original <i>(Month/Day/Year)</i> 	7. Individual or Joint/Group Filing <i>(Check Applicable Line)</i> ☒ Form Filed by One Reporting Person ☐ Form Filed by More than One Reporting Person	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

Table I Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)

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Table II Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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Date Exer- cisable	Expi- ration Date	Title	Amount or Number of Shares
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Explanation of Responses:

/s/ Mark Kacer

February 5, 2003

Mark Kacer
**Signature of Reporting
Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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