

PEREZ DE LA MESA MANUEL J
Form 4
August 22, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PEREZ DE LA MESA MANUEL J

(Last) (First) (Middle)

109 NORTH PARK BLVD.

(Street)

COVINGTON, LA 70433

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
POOL CORP [POOL]

3. Date of Earliest Transaction (Month/Day/Year)
06/28/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

PRESIDENT/CEO

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Common Stock | 08/20/2018 | | M | | 1,257 A \$ 18.44 | 60,258.335 | D |
| Common Stock | 08/20/2018 | | S | | 1,257 D \$ 161.0099 | 59,001.335 | D |
| Common Stock | 08/20/2018 | | M | | 10,000 A \$ 18.44 | 69,001.335 | D |
| Common Stock | 08/20/2018 | | S | | 10,000 D \$ 160.8184 | 59,001.335 | D |
| | 08/20/2018 | | M | | 3,544 A \$ 18.44 | 62,545.335 | D |

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| | | | | | | | | |
|--------------|------------|---|--------|---|-----------------------|------------|---|---------------------|
| Common Stock | | | | | | | | |
| Common Stock | 08/20/2018 | S | 3,544 | D | \$ 160.5037 (3) | 59,001.335 | D | |
| Common Stock | 08/21/2018 | M | 10,000 | A | \$ 18.44 | 69,001.335 | D | |
| Common Stock | 08/21/2018 | S | 10,000 | D | \$ 162.2685 (4) | 59,001.335 | D | |
| Common Stock | 08/21/2018 | M | 5,199 | A | \$ 18.44 | 64,200.335 | D | |
| Common Stock | 08/21/2018 | S | 5,199 | D | \$ 162.1298 (5) | 59,001.335 | D | |
| Common Stock | 08/21/2018 | M | 10,000 | A | \$ 18.44 | 69,001.335 | D | |
| Common Stock | 08/21/2018 | S | 10,000 | D | \$ 161.599 (6) | 59,001.335 | D | |
| Common Stock | 08/09/2018 | G | 240 | D | \$ 0 | 660,432 | I | by Family Trusts |
| Common Stock | 06/28/2018 | G | 100 | D | \$ 0 | 192,230 | I | by Trust |
| Common Stock | 07/12/2018 | G | 290 | D | \$ 0 | 191,940 | I | by Trust |
| Common Stock | 08/09/2018 | G | 240 | A | \$ 0 | 192,180 | I | by Trust |
| Common Stock | | | | | | 5,000 | I | by Immediate Family |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Ar Underlying Se (Instr. 3 and 4) |
|--|---|--------------------------------------|--|--------------------------------|--|--|--|
|--|---|--------------------------------------|--|--------------------------------|--|--|--|

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| Security | | | Code | (D) (Instr. 3, 4, and 5) | | Date Exercisable | Expiration Date | Title |
|---|----------|------------|------|--------------------------------|--------|---------------------------|--------------------|-----------------|
| | | | | V | (A) | | | |
| Non-Qualified Stock Option (right to buy) | \$ 18.44 | 08/20/2018 | M | | 1,257 | 05/05/2012 ⁽⁷⁾ | 05/05/2019 | Common Stock |
| Non-Qualified Stock Option (right to buy) | \$ 18.44 | 08/20/2018 | M | | 10,000 | 05/05/2012 ⁽⁷⁾ | 05/05/2019 | Common Stock |
| Non-Qualified Stock Option (right to buy) | \$ 18.44 | 08/20/2018 | M | | 3,544 | 05/05/2012 ⁽⁷⁾ | 05/05/2019 | Common Stock |
| Non-Qualified Stock Option (right to buy) | \$ 18.44 | 08/21/2018 | M | | 10,000 | 05/05/2012 ⁽⁷⁾ | 05/05/2019 | Common Stock |
| Non-Qualified Stock Option (right to buy) | \$ 18.44 | 08/21/2018 | M | | 5,199 | 05/05/2012 ⁽⁷⁾ | 05/05/2019 | Common Stock |
| Non-Qualified Stock Option (right to buy) | \$ 18.44 | 08/21/2018 | M | | 10,000 | 05/05/2012 ⁽⁷⁾ | 05/05/2019 | Common Stock |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------|-------|
| | Director | 10% Owner | Officer | Other |
| PEREZ DE LA MESA MANUEL J 109 NORTH PARK BLVD. COVINGTON, LA 70433 | X | | PRESIDENT/CEO | |

Signatures

By: Jennifer Neil For: Manuel Perez de la Mesa 08/22/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$161.00 to \$161.10, inclusive. The reporting person undertakes to provide to Pool Corporation, any security holder of Pool Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

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(2) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$160.50 to \$161.19, inclusive. The reporting person undertakes to provide to Pool Corporation, any security holder of Pool Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

(3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$160.50 to \$160.62, inclusive. The reporting person undertakes to provide to Pool Corporation, any security holder of Pool Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

(4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$161.80 to \$162.77, inclusive. The reporting person undertakes to provide to Pool Corporation, any security holder of Pool Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

(5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$162.00 to \$162.25, inclusive. The reporting person undertakes to provide to Pool Corporation, any security holder of Pool Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

(6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$161.16 to \$161.905, inclusive. The reporting person undertakes to provide to Pool Corporation, any security holder of Pool Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

(7) The option vests 50% 3 years from the grant date with the remaining 50% vesting 5 years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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