### Edgar Filing: SAWRIE K MATTHEW - Form 4

#### SAWRIE K MATTHEW

Form 4

December 21, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* SAWRIE K MATTHEW

2. Issuer Name and Ticker or Trading

Symbol

Home Federal Bancorp, Inc. of

Louisiana [HFBL]

3. Date of Earliest Transaction

(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

C/O HOME FEDERAL BANK, 222

(State)

(First)

12/20/2018

(Middle)

(Zip)

Director 10% Owner X\_ Officer (give title \_ Other (specify

below) below) SVP Commercial Lending\*

FLORIDA STREET

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State)	Table	e I - Non-De	erivative S	securi	ities Acc	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)			d of	Securities I Beneficially ( Owned I	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(IIIsti. 4)	(IIISII. 4)
Common Stock	12/20/2018		M	1,000	A	\$ 14.7	16,761 <u>(1)</u>	D	
Common Stock							5,361	I	By IRA
Common Stock							2,101.8623 (2)	I	By 401(k) Plan
Common Stock							5,765.2392	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Shares
Employee Stock Option (Right to Buy)	\$ 14.7	12/20/2018		M	1,000	01/31/2017(3)	01/31/2022	Common Stock	1,000
Employee Stock Option (Right to Buy)	\$ 23					<u>(4)</u>	10/26/2025	Common Stock	7,000
Employee Stock Option (Right to Buy)	\$ 18.92					<u>(5)</u>	07/31/2024	Common Stock	3,253

# **Reporting Owners**

SHREVEPORT, LA 71105

Reporting Owner Name / Address	Relationships						
	Director	Director 10% Owner Officer		Other			
SAWRIE K MATTHEW							
C/O HOME FEDERAL BANK			SVD Commercial Landing*				
222 FLORIDA STREET			SVP Commercial Lending*				

Reporting Owners 2

# **Signatures**

/s/K. Matthew Sawrie 12/21/2018

\*\*Signature of
Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 400 shares and 203 shares granted pursuant to the 2014 Stock Incentive Plan and held in the 2011 Recognition and Retention Plan Trust, respectively, which reflect the unvested portion of grant awards originally covering 1,000 shares and 1,003 shares, respectively, that commenced vesting at a rate of 20% per year on October 26, 2016 and July 31, 2015, respectively, and 1,922 shares held jointly with the reporting person's spouse.
- (2) Reflects units which represent share interests and a reserve of cash in the Issuer's 401(k) Plan. Based on a report dated December 21, 2018.
- (3) The options vested at a rate of 20% per year commencing on January 31, 2013.
- (4) The options are vesting at a rate of 20% per year commencing on October 26, 2016.
- (5) The options are vesting at a rate of 20% per year commencing on July 31, 2015.

#### **Remarks:**

\* Senior Vice President Commercial Lending of Home Federal Bank (Issuer Subsidiary).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3