

Edgar Filing: GAIAM INC - Form 3

GAIAM INC
Form 3
July 17, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

1. Name and Address of Reporting Person
Bahl, Yudi
360 Interlocken Boulevard, Suite 300
Broomfield, CO 80021
USA
2. Date of Event Requiring Statement (Month/Day/Year)
07/10/01
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Issuer Name and Ticker or Trading Symbol
Gaia, Inc.
GAIA
5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)
Chief Financial Officer
6. If Amendment, Date of Original (Month/Day/Year)
7. Individual or Joint/Group Filing (Check Applicable Line)
(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

1. Title of Security	2. Amount of Securities Beneficially Owned	3. Ownership Form: Direct (D) or Indirect (I)	4. Nature of Beneficial
----------------------	--	---	-------------------------

Table II -- Derivative Securities Beneficially Owned

1. Title of Derivative Security	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Underlying Securities	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security
---------------------------------	--	--	--	--

Stock Option (right to buy)	5/6/02	6/6/08	Common Stock	80,000 (1)	\$11.50	D
-----------------------------	--------	--------	--------------	------------	---------	---

Explanation of Responses:

1. Granted pursuant to the Issuer's 1999 Long-Term Incentive Plan. 2% of the

Edgar Filing: GAIAM INC - Form 3

shares vest on May 6, 2002 and on the sixth day of each month thereafter.

SIGNATURE OF REPORTING PERSON

/s/ Yudi Bahl

DATE

July 11, 2001