Edgar Filing: FALCONSTOR SOFTWARE INC - Form 4

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FALCONS Form 4 August 19, 2	FOR SOFTWARE IN 2014	С								
FORM	ЛД								APPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Section 16. Form 4 or						Number:	3235-0287 January 31,			
						Expires: Estimated burden her response	2005 d average ours per			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type	Responses)									
1. Name and A OXENHOR	Symbol FALCO	FALCONSTOR SOFTWARE INC				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
		[FALC	[FALC]							
			3. Date of Earliest Transaction (Month/Day/Year) 08/15/2014				_X_Director10% Owner Officer (give titleOther (specify below) below)			
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting					
MELVILL	E, NY 11747						Person		1 0	
(City)	(State) (Zip)	Tab	le I - Non-I	Derivative	Securit	ties Ac	quired, Disposed	of, or Benefic	ially Owned	
1.Title of Security (Instr. 3)	(Month/Day/Year) Exe any			3.4. Securities AcquiredTransactior(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)			SecuritiesCBeneficiallyFOwned(I)FollowingIn	Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock							1,046,000	D		
Common Stock	08/15/2014		J <u>(2)</u>	90,000	D	\$ 0	0	I	By Eli Oxenhorn Family Ltd. Partnership	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Other

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer			
OXENHORN ELI C/O FALCONSTOR SOFTWARE, INC. 2 HUNTINGTON QUADRANGLE MELVILLE, NY 11747	Х					
Signatures						
/s/ Seth Horowitz as Attorney-in-Fact	08/19/201	4				

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Mr. Oxenhorn is the general partner of the Eli Oxenhorn Family Limited Partnership. Mr. Oxenhorn disclaims beneficial ownership of (1) these securities except to the extent of his pecuniary interest therein.
- (2) Represents a distribution by the Eli Oxenhorn Family Limited Partnership.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.