

GAIN Capital Holdings, Inc.  
Form 4  
August 01, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RIMA SENVEST MANAGEMENT,  
L.L.C.

2. Issuer Name and Ticker or Trading Symbol  
GAIN Capital Holdings, Inc.  
[GCAP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
540 MADISON AVENUE, 32ND  
FLOOR

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/12/2014

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
 10% Owner  
\_\_\_\_ Other (specify below)

(Street)  
NEW YORK, NY 10022

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock, \$0.00001 par value	05/12/2014	05/15/2014	P	1,350	A \$ 7.76	434,166	I <sup>(1)</sup> See footnote <sup>(1)</sup>
Common Stock, \$0.00001 par value	05/12/2014	05/15/2014	P	3,413	A \$ 7.76	437,579	I <sup>(1)</sup> See footnote <sup>(1)</sup>
Common Stock, \$0.00001	05/12/2014	05/15/2014	P	175	A \$ 7.75	437,754	I <sup>(1)</sup> See footnote <sup>(1)</sup>

Edgar Filing: GAIN Capital Holdings, Inc. - Form 4

par value									
Common Stock, \$0.00001 par value	05/12/2014	05/15/2014	P	442	A	\$ 7.75	438,196	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	05/12/2014	05/15/2014	P	1,934	A	\$ 7.72	440,130	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	05/12/2014	05/15/2014	P	4,889	A	\$ 7.72	445,019	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	05/12/2014	05/16/2014	P	27	A	\$ 7.85	445,046	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	05/12/2014	05/16/2014	P	68	A	\$ 7.85	445,114	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	05/12/2014	05/19/2014	P	298	A	\$ 7.8	445,412	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	05/12/2014	05/19/2014	P	765	A	\$ 7.8	446,177	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	05/27/2014	05/30/2014	P	144	A	\$ 7.94	446,321	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	05/27/2014	05/30/2014	P	388	A	\$ 7.94	446,709	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	05/27/2014	05/30/2014	P	442	A	\$ 7.98	447,151	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	05/27/2014	05/30/2014	P	1,153	A	\$ 7.98	448,304	I <u>(1)</u>	See footnote <u>(1)</u>

## Edgar Filing: GAIN Capital Holdings, Inc. - Form 4

Common Stock, \$0.00001 par value	06/09/2014	06/12/2014	P	576	A	\$ 7.7	448,880	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	06/09/2014	06/12/2014	P	1,563	A	\$ 7.7	450,443	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	06/10/2014	06/13/2014	P	144	A	\$ 7.78	450,587	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	06/10/2014	06/13/2014	P	390	A	\$ 7.78	450,977	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	07/10/2014	07/15/2014	P	713	A	\$ 6.86	451,690	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	07/10/2014	07/15/2014	P	1,962	A	\$ 6.86	453,652	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	07/11/2014	07/16/2014	P	199	A	\$ 6.71	453,851	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	07/11/2014	07/16/2014	P	544	A	\$ 6.71	454,395	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	07/14/2014	07/17/2014	P	143	A	\$ 6.66	454,538	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	07/14/2014	07/17/2014	P	392	A	\$ 6.66	454,930	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	07/15/2014	07/18/2014	P	143	A	\$ 6.58	455,073	I <u>(1)</u>	See footnote <u>(1)</u>
	07/15/2014	07/18/2014	P	392	A		455,465	I <u>(1)</u>	

Edgar Filing: GAIN Capital Holdings, Inc. - Form 4

Common Stock, \$0.00001 par value						\$ 6.58			See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	07/16/2014	07/21/2014	P	287	A	\$ 6.49	455,752	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	07/16/2014	07/21/2014	P	783	A	\$ 6.49	456,535	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	07/17/2014	07/22/2014	P	262	A	\$ 6.52	456,797	I <u>(1)</u>	See footnote <u>(1)</u>
Common Stock, \$0.00001 par value	07/17/2014	07/22/2014	P	700	A	\$ 6.52	457,497	I <u>(1)</u>	See footnote <u>(1)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

