#### Edgar Filing: PARKERVISION INC - Form 4

#### PARKERVISION INC

Form 4

December 19, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Expires:

Check this box if no longer subject to

3235-0287 Number:

January 31,

**OMB APPROVAL** 

Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Newman Frank N

2. Issuer Name and Ticker or Trading

PARKERVISION INC [PRKR]

5. Relationship of Reporting Person(s) to Issuer

below)

Symbol

(Check all applicable)

(Last)

(First) (Middle)

3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

7915 BAYMEADOWS WAY, #400

(Street)

12/15/2016

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

JACKSONVILLE, FL 32256

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) anv (Month/Day/Year)

4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

Reported (A) or Code V Amount (D) Price

Transaction(s) (Instr. 3 and 4)

Common Stock

12/15/2016

M 10,000 A <u>(1)</u>

12,500

Owned

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	orDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of S
Option (Right to Buy)	\$ 2.12	12/15/2016		A	50,000		<u>(2)</u>	12/15/2023	Common Stock	50
Restricted Stock Units (RSUs)	(1)	12/15/2016	12/15/2016	A	50,000		(3)	<u>(1)</u>	Common Stock	50
Restricted Stock Units (RSUs)	(1)	12/15/2016	12/15/2016	M		10,000	12/15/2016	<u>(1)</u>	Common Stock	10

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
coporting of the stanton stanton	Director	10% Owner	Officer	Other			
Newman Frank N 7915 BAYMEADOWS WAY, #400 JACKSONVILLE, FL 32256	X						

### **Signatures**

/s/Cynthia
Poehlman

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit (RSU) entitles the holder to one share of PRKR common stock upon vesting.
- (2) 50,000 share options granted in on December 15, 2016 in accordance with director equity compensation program. 20% of the share options vest upon grant and the remaining vest in 8 equal quarterly increments beginning 3/15/17.
- (3) 50,000 RSUs granted in on December 15, 2016 in accordance with director equity compensation program. 20% of the RSUs vest on upon grant and the remaining 80% vest in 8 equal quarterly increments beginning 3/15/17.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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