

JONES PAUL W
Form 4
February 14, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
JONES PAUL W

(Last) (First) (Middle)

A. O. SMITH
CORPORATION, 11270 WEST
PARK PLACE

(Street)

MILWAUKEE, WI 53224

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
SMITH A O CORP [AOS]

3. Date of Earliest Transaction
(Month/Day/Year)
02/11/2011

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) below)
Chair, Pres. and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	02/11/2011		S		700	D	\$ 42.29	127,096	D
Common Stock	02/11/2011		S		194	D	\$ 42.295	126,902	D
Common Stock	02/11/2011		S		1,906	D	\$ 42.3	124,996	D
Common Stock	02/11/2011		S		100	D	\$ 42.305	124,896	D
Common Stock	02/11/2011		S		5,094	D	\$ 42.31	119,802	D

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Common Stock	02/11/2011	S	118	D	\$ 42.31	119,684	D
Common Stock	02/11/2011	S	9	D	\$ 42.315	119,675	D
Common Stock	02/11/2011	S	3,000	D	\$ 42.32	116,675	D
Common Stock	02/11/2011	S	600	D	\$ 42.33	116,075	D
Common Stock	02/11/2011	S	1,200	D	\$ 42.34	114,875	D
Common Stock	02/11/2011	S	100	D	\$ 42.345	114,775	D
Common Stock	02/11/2011	S	300	D	\$ 42.35	114,475	D
Common Stock	02/11/2011	S	200	D	\$ 42.36	114,275	D
Common Stock	02/11/2011	S	100	D	\$ 42.365	114,175	D
Common Stock	02/11/2011	S	300	D	\$ 42.37	113,875	D
Common Stock	02/11/2011	S	1,100	D	\$ 42.38	112,775	D
Common Stock	02/11/2011	S	700	D	\$ 42.4	112,075	D
Common Stock	02/11/2011	S	599	D	\$ 42.42	111,476	D
Common Stock	02/11/2011	S	1	D	\$ 42.425	111,475	D
Common Stock	02/11/2011	M	31,800 (1)	A	\$ 42.2197	143,275	D
Common Stock	02/11/2011	S	14,000 (2)	D	\$ 42.2197	129,275	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Title and Amount of Underlying Securities (Instr. 3 and 4)
Restricted Stock Units	\$ 23.76	02/11/2011		M	31,800	(1) (1)	Common Stock	31,800

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JONES PAUL W A. O. SMITH CORPORATION 11270 WEST PARK PLACE MILWAUKEE, WI 53224	X		Chair, Pres. and CEO	

Signatures

James F. Stern, Attorney-in-Fact for Paul W. Jones
 Date: 02/15/2011

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 31,800 Restricted Stock Units were granted on 02/11/2008, under the A. O. Smith Combined Incentive Compensation Plan, a transaction
- (1) exempt under Rule 16b-3. 31,800 Restricted Stock Units vested on 02/11/2011. As of result of vesting, the Company is obligated to deliver 31,800 shares of Common Stock to the reporting person.
 - (2) The reporting person sold these shares to obtain funds to pay the withholding taxes due as a result of the vesting of the restricted stock units described in footnote (1).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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