

HELLING LARRY J
Form 4
March 05, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
HELLING LARRY J

(Last) (First) (Middle)

3551 SEVENTH STREET, SUITE
204

(Street)

MOLINE, IL 61265

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
QCR HOLDINGS INC [QCRH]

3. Date of Earliest Transaction
(Month/Day/Year)
03/01/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President/CEO CRBT

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/01/2019		F ⁽¹⁾	1,021 D \$ 36	9,951.49	D	
Common Stock					36,450	I	by IRA
Common Stock					19,830.29	I	by Managed Account
Common Stock					4,285.23	I	by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Shares	\$ 36 ⁽²⁾	03/01/2019		A		3,422		03/01/2020 ⁽²⁾	03/01/2029	Common Stock	3
Non-Qualified Stock Option (right to buy)	\$ 9							02/01/2011	02/01/2020	Common Stock	4
Non-Qualified Stock Option (right to buy)	\$ 9.3008							02/01/2013	02/01/2022	Common Stock	1
Non-Qualified Stock Option (right to buy)	\$ 15.65							05/01/2014	05/01/2023	Common Stock	1
Non-Qualified Stock Option (right to buy)	\$ 17.1							02/03/2015	02/03/2024	Common Stock	8
Non-Qualified Stock Option (right to buy)	\$ 17.49							02/02/2016	02/02/2025	Common Stock	6
Non-Qualified Stock Option (right to buy)	\$ 42.75							03/09/2018 ⁽³⁾	03/09/2027	Common Stock	3
Performance Shares	\$ 33.23 ⁽⁵⁾							01/01/2020 ⁽⁴⁾	01/14/2029	Common Stock	1

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Edgar Filing: HELLING LARRY J - Form 4

Director 10% Owner Officer Other

HELLING LARRY J
3551 SEVENTH STREET
SUITE 204
MOLINE, IL 61265

X

President/CEO
CRBT

Signatures

By: Rick J. Jennings For: Larry J.
Helling

03/05/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Surrender of common stock to issuer upon vesting of restricted stock award to pay withholding taxes
Represents a restricted stock unit grant. Each restricted stock unit represents a contingent right to receive one share of QCR Holdings, Inc.
- (2) common stock or the cash equivalent of one share of common stock. The restricted stock units vest in four annual equal installments beginning on March 1, 2020. The settlement of such units are anticipated to be settled in cash.
- (3) These options are exercisable in annual increments of 25% each, with the first 25% vesting on the first anniversary of the option grant.
- (4) These units vest in approximately equal installments on January 1 in each of calendar years 2020 through 2023.
- (5) These units convert into shares of common stock on a 1-for-1 basis and fifty percent (50%) of the award is subject to a performance threshold.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.