

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

JAPAN SMALLER CAPITALIZATION FUND INC

Form NSAR-A

October 30, 2017

PAGE 1
000 A000000 08/31/2017
000 C000000 0000859796
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 JAPAN SMALLER CAPITALIZATION FUND, INC.
001 B000000 811-05992
001 C000000 2126671855
002 A000000 WORLDWIDE PLAZA, 309 WEST 49TH STREET
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10019
002 D020000 7316
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
007 C011100 11
007 C011200 12
007 C011300 13
007 C011400 14
007 C011500 15
007 C011600 16
007 C011700 17
007 C011800 18
007 C011900 19
007 C012000 20
007 C012100 21
007 C012200 22
007 C012300 23
007 C012400 24
007 C012500 25
007 C012600 26
007 C012700 27
007 C012800 28
PAGE 2
007 C012900 29
007 C013000 30
008 A000001 NOMURA ASSET MANAGEMENT U.S.A. INC.
008 B000001 A
008 C000001 801-12129
008 D010001 NEW YORK

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

008 D020001 NY
008 D030001 10019
008 D040001 7316
008 A000002 NOMURA ASSET MANAGEMENT CO., LTD.
008 B000002 S
008 C000002 801-24357
008 D010002 TOKYO
008 D050002 JAPAN
008 D060002 103-8260
010 A000001 NOMURA ASSET MANAGEMENT U.S.A. INC.
010 B000001 801-12129
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10019
010 C040001 7316
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A.
012 B000001 85-11340
012 C010001 LOUISVILLE
012 C020001 KY
012 C030001 40233
013 A000001 RSM U.S. LLP
013 B010001 BOSTON
013 B020001 MA
013 B030001 02129
014 A000001 NOMURA SECURITIES INTERNATIONAL, INC.
014 B000001 8-15255
014 A000002 NOMURA SECURITIES CO., LTD.
014 B000002 8-00000
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02110
015 C040001 1548
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 2
019 C000000 NOMURAASSE
020 A000001 MIZUHO SECURITIES ASIA LIMITED
020 C000001 40
020 A000002 SMBC NIKKO SECURITIES
020 C000002 32
PAGE 3
020 A000003 DAIWA CAPITAL MARKETS EUROPE
020 C000003 23
020 A000004 MITSUBISHI UFJ SECURITIES
020 C000004 18
020 A000005 CITIGROUP GLOBAL MARKETS INC.
020 C000005 12
020 A000006 MORGAN STANLEY AND CO.
020 C000006 2
020 A000007 UBS SECURITIES ASIA LIMITED
020 C000007 2
020 A000008 DEUTSCHE-BANK SECURITIES INC.
020 C000008 2
020 A000009 MERRILL LYNCH INTERNATIONAL LONDON
020 C000009 2
020 A000010 GOLDMAN SACHS AND CO.
020 C000010 1
021 000000 136
022 A000001 MIZUHO SECURITIES ASIA LIMITED

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

022	C000001	3090
022	D000001	13323
022	A000002	CITIGROUP GLOBAL MARKETS INC.
022	C000002	156
022	D000002	6711
022	A000003	SMBC NIKKO SECURITIES
022	C000003	498
022	D000003	4145
022	A000004	DAIWA CAPITAL MARKETS EUROPE
022	C000004	109
022	D000004	3560
022	A000005	MORGAN STANLEY AND CO.
022	C000005	0
022	D000005	2148
022	A000006	MITSUBISHI UFJ SECURITIES
022	C000006	1013
022	D000006	218
022	A000007	GOLDMAN SACHS AND CO.
022	C000007	0
022	D000007	443
022	A000008	UBS SECURITIES ASIA LIMITED
022	C000008	0
022	D000008	336
022	A000009	JP MORGAN SECURITIES PLC
022	C000009	41
022	D000009	61
022	A000010	CREDIT SUISSE FIRST BOSTON
022	C000010	0
022	D000010	6
023	C000000	4907
023	D000000	30951
024	000000	N
025	D000001	0
	PAGE	4
025	D000002	0
025	D000003	0
025	D000004	0
025	D000005	0
025	D000006	0
025	D000007	0
025	D000008	0
026	A000000	N
026	B000000	Y
026	C000000	Y
026	D000000	Y
026	E000000	Y
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
	PAGE	5
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.000
048	A010000	50
048	A020000	1.100
048	B010000	50
048	B020000	1.000
048	C010000	75
048	C020000	0.900
048	D010000	75
048	D020000	0.800
048	E010000	75
048	E020000	0.700
048	F010000	100
048	F020000	0.600
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

048 J020000 0.000
048 K010000 425
048 K020000 0.500
049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 Y
054 D000000 N
054 E000000 N
PAGE 6
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 N
055 A000000 N
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 N
060 B000000 N
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 Y
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

068 B000000 Y
 069 000000 N
 070 A010000 Y
 PAGE 7
 070 A020000 N
 070 B010000 N
 070 B020000 N
 070 C010000 N
 070 C020000 N
 070 D010000 N
 070 D020000 N
 070 E010000 N
 070 E020000 N
 070 F010000 N
 070 F020000 N
 070 G010000 Y
 070 G020000 N
 070 H010000 N
 070 H020000 N
 070 I010000 N
 070 I020000 N
 070 J010000 Y
 070 J020000 N
 070 K010000 Y
 070 K020000 N
 070 L010000 Y
 070 L020000 Y
 070 M010000 Y
 070 M020000 Y
 070 N010000 Y
 070 N020000 N
 070 O010000 Y
 070 O020000 N
 070 P010000 N
 070 P020000 N
 070 Q010000 N
 070 Q020000 N
 070 R010000 N
 070 R020000 N
 071 A000000 100790
 071 B000000 97200
 071 C000000 359649
 071 D000000 27
 072 A000000 6
 072 B000000 1
 072 C000000 3435
 072 D000000 0
 072 E000000 0
 072 F000000 1548
 072 G000000 0
 072 H000000 0
 072 I000000 6
 072 J000000 160
 072 K000000 0
 072 L000000 16
 PAGE 8
 072 M000000 65
 072 N000000 15
 072 O000000 0
 072 P000000 2
 072 Q000000 0
 072 R000000 39

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

072	S000000	79
072	T000000	0
072	U000000	0
072	V000000	15
072	W000000	9
072	X000000	1954
072	Y000000	0
072	Z000000	1482
072AA	000000	27142
072BB	000000	0
072CC0	10000	22742
072CC0	20000	0
072DD0	10000	0
072DD0	20000	0
072EE	000000	0
073	A010000	0.0000
073	A020000	0.0000
073	B000000	0.0000
073	C000000	0.0000
074	A000000	2042
074	B000000	0
074	C000000	0
074	D000000	0
074	E000000	0
074	F000000	0
074	G000000	0
074	H000000	0
074	I000000	393004
074	J000000	363
074	K000000	0
074	L000000	240
074	M000000	54
074	N000000	395703
074	O000000	1384
074	P000000	275
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	166
074	S000000	0
074	T000000	393878
074	U010000	28334
074	U020000	0
074	V010000	13.90
	PAGE	9
074	V020000	0.00
074	W000000	0.0000
074	X000000	7017
074	Y000000	0
075	A000000	0
075	B000000	36136
076	000000	12.34
077	A000000	N
077	Q010000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
084	B000000	0
086	A010000	0

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-A

086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	47109U104
087	A030000	JOF
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	AMY J MAROSE	
TITLE	TREASURER	