

KIM JAMES J
Form 5
February 14, 2018

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
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1. Name and Address of Reporting Person *
KIM JAMES J

2. Issuer Name **and** Ticker or Trading
Symbol
AMKOR TECHNOLOGY, INC.
[AMKR]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2017

☒ Director ☒ 10% Owner
☐ Officer (give title ☒ Other (specify
below) below)
Exhibit 99.1

2045 EAST INNOVATION
CIRCLE

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

TEMPE, AZ 85284

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/22/2017	Â	J ⁽¹⁾	53,105	D	\$ 10.25	15,442,042 (4)	I	By self as trustee
Common Stock	02/22/2017	Â	J ⁽¹⁾	53,105	A	\$ 10.25	52,301,250	D	Â
Common Stock	03/02/2017	Â	J ⁽²⁾	1,687,829	D	\$ 10.21	15,442,042 (4)	I	By self as trustee
Common	09/11/2017	Â	J ⁽³⁾	686,108	D	\$ 9.06	15,442,042	I	By self

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Stock							(4)		as trustee
Common Stock	09/11/2017	Â	J ⁽³⁾	686,108	A	\$ 9.06	52,301,250	D	Â

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D S B O E I F (I
					(A) (D)	Date Exercisable	Expiration Date	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KIM JAMES J 2045 EAST INNOVATION CIRCLE TEMPE, AZ 85284	Â X	Â X	Â	Exhibit 99.1

Signatures

Jerry Allison, as Attorney
in Fact 02/14/2018

Date _____

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 77ff(a).

- (1) On February 22, 2017, the James J. Kim 2014 Qualified Annuity Trust U/A dated October 13, 2014 distributed 53,105 shares to James J. Kim. James J. Kim and Susan Y. Kim are co-trustees of the trust.
- (2) On March 2, 2017, the John T. Kim Irrevocable Trust U/A Dated 12/11/12 distributed 1,687,829 shares to the John T. Kim Trust of December 31, 1987. John T. Kim and James J. Kim are trustees of the John T. Kim Irrevocable Trust U/A Dated 12/11/12. John T. Kim is the sole trustee of the John T. Kim Trust of December 31, 1987.
- (3)

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On September 11, 2017, the James J. Kim 2013 Qualified Annuity Trust U/A Dated 5/17/13 distributed 686,108 shares of the Company's Common Stock to James J. Kim. James J. Kim and Susan Y. Kim are co-trustees.

- (4) The reporting person disclaims beneficial ownership of these securities, except to the extent of the reporting person's pecuniary interest therein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities, except to the extent of the reporting person's pecuniary interest therein, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.