Edgar Filing: COOPER CHAD J. - Form 4

COOPER CHAD I

COOPER CH Form 4	AD J.											
August 31, 20)17											
FORM A										OMB APPROVAL		
Washington, D.									OMB Number:	3235-0287		
Check this if no longe subject to Section 16 Form 4 or Form 5	 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934. 							burden hou	Expires:January 31, 2005Estimated average burden hours per response0.5			
obligations may continue.Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).												
(Print or Type Ro	esponses)											
1. Name and Address of Reporting Person <u>*</u> COOPER CHAD J.			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer				
		ARI NETWORK SERVICES INC /WI [ARIS]					(Check all applicable)					
(Last)		Iiddle) 3. Date of Earliest Transaction (Month/Day/Year)					X Director 10% Owner Officer (give title Other (specify below) below)					
10850 WEST PLACE, SUI	08	08/29/2017										
	(Street)	treet) 4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
MILWAUKI	EE, WI 53224							Form filed by M Person				
(City)	(State) (Z	Zip)	Table	e I - Non-Do	erivative S	ecurit	ies Acq	uired, Disposed of	, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)		action Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year)		3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)				SecuritiesIBeneficially0OwnedIFollowing0	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Common Stock	08/29/2017			D <u>(1)</u>	27,199	D	\$ 7.1	0	D			
Common Stock	08/29/2017			D <u>(1)</u>	88,000	D	\$ 7.1	0	I	By Family Trust		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of tiorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		borDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$ 3.25	08/29/2017		D <u>(2)</u>		10,000	07/31/2015	10/07/2024	Common Stock	10,000				
Common Stock Warrants	\$ 2	08/29/2017		D <u>(3)</u>		28,200	03/15/2013	03/15/2018	Common Stock	28,200				

Reporting Owners

Reporting Owner Name / Address								
	Director	10% Owner	Officer	Other				
COOPER CHAD J. 10850 WEST PARK PLACE SUITE 1200 MILWAUKEE, WI 53224	Х							
Signatures								
Mary L. Pierson (pursuant to Power of Attorney previously filed)								

**Signature of Reporting Person

Date

/2017

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to the Agreement and Plan of Merger (the "Merger Agreement") dated June 20, 2017 among the Issuer, Expedition Holdings
 LLC ("Parent") and Expedition Merger Sub, Inc., at the effective time (the "Effective Time") of the merger (the "Merger") contemplated under the Merger Agreement, each unvested share of restricted stock of the Issuer vested, and each share of Issuer common stock converted into the right to receive \$7.10 in cash.

At the Effective Time of the Merger, outstanding options were cancelled and converted into the right to receive, for each share of Issuer(2) common stock subject to the option, an amount in cash equal to (x) \$7.10, minus (y) the sum of (1) the exercise price per share of common stock of such option, and (2) any applicable withholding amounts.

(3) At the Effective Time of the Merger, the Reporting Person received cash in the amount of \$236,883 in connection with the disposition of his outstanding Warrants.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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