

MUIR WILLIAM D JR
Form 4
March 14, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MUIR WILLIAM D JR

2. Issuer Name **and** Ticker or Trading
Symbol
ELECTRONICS FOR IMAGING
INC [EFII]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
6750 DUMBARTON CIRCLE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/12/2019

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
CHIEF EXECUTIVE OFFICER

FREMONT, CA 94555

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. I

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)						(Instr. 9)
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit (RSU)	<u>(1)</u>	03/12/2019	A		11,900		<u>(2)</u>	<u>(2)</u>	Common Stock	11,900
Restricted Stock Unit (RSU)	<u>(1)</u>	03/12/2019	A		11,900		<u>(3)</u>	<u>(3)</u>	Common Stock	11,900
Restricted Stock Unit (RSU)	<u>(1)</u>	03/12/2019	A		5,952		<u>(4)</u>	<u>(4)</u>	Common Stock	5,952

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MUIR WILLIAM D JR 6750 DUMBARTON CIRCLE FREMONT, CA 94555	X		CHIEF EXECUTIVE OFFICER	

Signatures

/s/ William Muir 03/14/2019

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represents a contingent right to receive one share of the common stock of Electronics For Imaging, Inc. (the "company").

(2) This is the target number of restricted stock units ("RSUs") subject to a performance-based award granted on March 12, 2019. This RSU award will vest, if and to the extent that the Company achieves specified revenue goals for the year ending December 31, 2019, on the later of March 12, 2020 or the vesting date as determined by the Compensation Committee (the "Committee") of the Board of Directors of the Company after it calculates, reviews and approves the Company's performance for the year ending December 31, 2019. Subject to the performance-based vesting requirements and the reporting person's continued employment through the applicable vesting date, the award will vest between 0% and 200% of the target number of units.

(3) This is the target number of RSUs subject to a performance-based award granted on March 12, 2019. This RSU award will vest, if and to the extent that the Company achieves specified non-GAAP operating income goals for the year ending December 31, 2019, on the later of March 12, 2020 or the vesting date as determined by the Committee after it calculates, reviews and approves the Company's performance for the year ending December 31, 2019. Subject to the performance-based vesting requirements and the reporting person's continued employment through the applicable vesting date, the award will vest between 0% and 200% of the target number of units.

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- This is the target number of RSUs subject to a performance-based award granted on March 12, 2019. This RSU award will vest, if and to the extent that the Company achieves specified cash from operations goals for the year ending December 31, 2019, on the later of March
- (4) 12, 2020 or the vesting date as determined by the Committee after it calculates, reviews and approves the Company's performance for the year ending December 31, 2019. Subject to the performance-based vesting requirements and the reporting person's continued employment through the applicable vesting date, the award will vest between 0% and 200% of the target number of units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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