

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

JAPAN SMALLER CAPITALIZATION FUND INC

Form NSAR-B

April 28, 2009

PAGE 1
000 B000000 02/28/2009
000 C000000 0000859796
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 JAPAN SMALLER CAPITALIZATION FUND, INC.
001 B000000 811-05992
001 C000000 2126671858
002 A000000 TWO WORLD FINANCIAL CENTER, BUILDING B
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10281
002 D020000 1712
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
007 C011100 11
007 C011200 12
007 C011300 13
007 C011400 14
007 C011500 15
007 C011600 16
007 C011700 17
007 C011800 18
007 C011900 19
007 C012000 20
007 C012100 21
007 C012200 22
007 C012300 23
007 C012400 24
007 C012500 25
007 C012600 26
007 C012700 27
007 C012800 28
PAGE 2
007 C012900 29
007 C013000 30
008 A000001 NOMURA ASSET MANAGEMENT USA INC.
008 B000001 A
008 C000001 801-12129
008 D010001 NEW YORK

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

008 D020001 NY
008 D030001 10281
008 D040001 1712
008 A000002 NOMURA ASSET MANAGEMENT CO., LTD.
008 B000002 S
008 C000002 801-24357
008 D010002 TOKYO
008 D050002 JAPAN
008 D060002 103-8260
008 A000004 NOMURA ASSET MANAGEMENT HONG KONG, LIMITED
008 B000004 S
008 C000004 801-57909
008 D010004 HONG KONG
008 D050004 HONG KONG
008 D060004 .
010 A000001 NOMURA ASSET MANAGEMENT USA INC
010 B000001 801-12129
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10281
010 C040001 1712
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A
012 B000001 85-11340
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3078
013 A000001 ERNST AND YOUNG, LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10036
014 A000001 NOMURA SECURITIES INTERNATIONAL , INC.
014 B000001 8-15255
014 A000002 NOMURA SECURITIES CO., LTD.
014 B000002 8-0000
015 A000001 BROWN BROTHERS HARRIMAN AND CO.
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 C040001 3661
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 2
PAGE 3
019 C000000 NOMURAASSE
020 A000001 TOKYO-MITSUBISHI INTERNATIONAL
020 C000001 32
020 A000002 CREDIT SUISSE
020 C000002 30
020 A000003 DAIWA SECURITIES
020 C000003 28
020 A000004 MERRILL LYNCH JAPAN INC.
020 C000004 26
020 A000005 MORGAN STANLEY JAPAN INC.
020 C000005 25
020 A000006 GOLDMAN SACHS & CO.
020 C000006 23
020 A000007 JP MORGAN SECURITIES LTD.
020 C000007 20
020 A000008 MIZUHO SECURITIES ASIA LTD.

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

020	C000008	18
020	A000009	LEHMAN BROTHERS SECURITIES
020	C000009	11
020	A000010	UBS WARBURG (JAPAN) LTD.
020	C000010	11
021	000000	254
022	A000001	BROWN BROTHERS HARRIMAN AND CO
022	B000001	13-4973745
022	C000001	26035
022	D000001	26035
022	C000002	0
022	D000002	0
022	C000003	0
022	D000003	0
022	C000004	0
022	D000004	0
022	C000005	0
022	D000005	0
022	C000006	0
022	D000006	0
022	C000007	0
022	D000007	0
022	C000008	0
022	D000008	0
022	C000009	0
022	D000009	0
022	C000010	0
022	D000010	0
023	C000000	26035
023	D000000	26035
024	000000	N
025	D000001	0
025	D000002	0
025	D000003	0
025	D000004	0
	PAGE	4
025	D000005	0
025	D000006	0
025	D000007	0
025	D000008	0
026	A000000	N
026	B000000	Y
026	C000000	Y
026	D000000	Y
026	E000000	Y
026	F000000	Y
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
	PAGE	5
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.000
048	A010000	50000
048	A020000	1.100
048	B010000	50000
048	B020000	1.000
048	C010000	75000
048	C020000	0.900
048	D010000	75000
048	D020000	0.800
048	E010000	75000
048	E020000	0.700
048	F010000	100000
048	F020000	0.600
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

048 K010000 425000
048 K020000 0.500
049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 Y
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
PAGE 6
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 N
055 A000000 N
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 N
060 B000000 N
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 Y
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 Y

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 N
070 B020000 N
PAGE 7
070 C010000 N
070 C020000 N
070 D010000 N
070 D020000 N
070 E010000 N
070 E020000 N
070 F010000 N
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 N
070 H020000 N
070 I010000 Y
070 I020000 N
070 J010000 Y
070 J020000 N
070 K010000 Y
070 K020000 N
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 Y
070 N010000 Y
070 N020000 Y
070 O010000 Y
070 O020000 N
070 P010000 N
070 P020000 N
070 Q010000 N
070 Q020000 N
070 R010000 N
070 R020000 N
071 A000000 132177
071 B000000 132430
071 C000000 174118
071 D000000 76
072 A000000 12
072 B000000 4
072 C000000 3355
072 D000000 0
072 E000000 58
072 F000000 1713
072 G000000 0
072 H000000 0
072 I000000 15
072 J000000 262
072 K000000 0
072 L000000 63
072 M000000 90
072 N000000 26
072 O000000 0
PAGE 8
072 P000000 0
072 Q000000 0
072 R000000 100
072 S000000 177

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

072	T000000	0
072	U000000	0
072	V000000	32
072	W000000	17
072	X000000	2495
072	Y000000	0
072	Z000000	922
072AA	000000	0
072BB	000000	31823
072CC0	10000	0
072CC0	20000	29741
072DD0	10000	2422
072DD0	20000	0
072EE	000000	0
073	A010000	0.1140
073	A020000	0.0000
073	B000000	0.0000
073	C000000	0.0000
074	A000000	125
074	B000000	0
074	C000000	0
074	D000000	0
074	E000000	0
074	F000000	137382
074	G000000	0
074	H000000	0
074	I000000	718
074	J000000	319
074	K000000	0
074	L000000	209
074	M000000	27
074	N000000	138780
074	O000000	537
074	P000000	119
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	264
074	S000000	0
074	T000000	137860
074	U010000	21242
074	U020000	0
074	V010000	6.49
074	V020000	0.00
074	W000000	0.0000
074	X000000	9900
	PAGE	9
074	Y000000	0
075	A000000	0
075	B000000	176189
076	000000	5.79
077	A000000	Y
077	B000000	Y
077	Q010000	Y
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	FEDERAL INSURANCE COMPANY
080	C000000	1000
081	A000000	Y
081	B000000	1

Edgar Filing: JAPAN SMALLER CAPITALIZATION FUND INC - Form NSAR-B

082	A000000	Y	
082	B000000		25
083	A000000	N	
083	B000000		0
084	A000000	N	
084	B000000		0
085	A000000	Y	
085	B000000	N	
086	A010000		0
086	A020000		0
086	B010000		0
086	B020000		0
086	C010000		0
086	C020000		0
086	D010000		0
086	D020000		0
086	E010000		0
086	E020000		0
086	F010000		0
086	F020000		0
087	A020000		47109U104
087	A030000		JOF
088	A000000	N	
088	B000000	N	
088	C000000	N	
088	D000000	N	
SIGNATURE	RITA CHOPRA-BRATHWAITE		
TITLE	TREASURER		