Neale Sam Form 4 March 14, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005
Estimated average

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

AAON INC [AAON]

Symbol

1(b).

Neale Sam

(Print or Type Responses)

1. Name and Address of Reporting Person \*

	(Last)	(First)	(Middle)	3. Date of Earliest Transaction			(Check an applicable)						
(Zast) (Tast) (Maule)									Director	10%	Owner		
2425 SOUTH YUKON					(Month/Day/Year) 03/13/2018					Officer (give	10% Owner re title Other (specify		
				03/13/20						below) below)			
										Vice President			
				4. If Ame	4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
				Filed(Mon	Filed(Month/Day/Year)					Applicable Line)			
				`						_X_ Form filed by One Reporting Person			
TULSA, OK 74107										Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Tabl	e I - No	n-D	erivative (	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
	1.Title of	2. Transaction Da	ate 2A Dee	med	3.		4 Securit	ties A	canired	5. Amount of	6. Ownership	7 Nature of	
	Security	(Month/Day/Year)		on Date, if						Securities	Form: Direct Indirect		
	(Instr. 3)		any	,								Beneficial	
	,		•	Day/Year)	(Instr.	8)			,	Owned	Indirect (I)	Ownership	
			·	•			(A) or			Following	(Instr. 4)	* *	
										Reported			
										Transaction(s)			
					Code	V	Amount	(D)	Price	(Instr. 3 and 4)			
	Common				Couc	•	7 Illiount	(D)	11100				
		02/12/2019			M		5,000	A	¢ 211	33,528	D		
	Stock, par	03/13/2018			IVI		3,000	А	<b>\$ 34.1</b>	33,328	D		
	value \$.004												
	Common								\$				
	Stock, par	03/13/2018			S		5,000	D	38.38	28,528	D		
	value \$.004	03/13/2010			S		3,000		(1)	20,320	D		
	value \$.004												
	Common											401/1-)	
	Stock, par									2,927	I	401(k)	
	value \$.004									,		Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: Neale Sam - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

## $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 21.93						01/02/2016	01/02/2025	Common Stock	1,600
Stock Option (Right to Buy)	\$ 22.15						01/04/2017	01/04/2026	Common Stock	2,547
Stock Option (Right to Buy)	\$ 34.1	03/13/2018		M		5,000	01/04/2018	01/04/2027	Common Stock	5,000
Stock Option (Right to Buy)	\$ 36.95						01/02/2019	01/02/2028	Common Stock	35,600

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
r g	Director	10% Owner	Officer	Other				
Neale Sam								
2425 SOUTH YUKON			Vice President					
TULSA, OK 74107								

Reporting Owners 2

### **Signatures**

Sam Neale 03/14/2018

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$38.10 to \$38.55. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3