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NAVISTAR INTERNATIONAL CORP

Form 4

January 30, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

response...

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per

OMB APPROVAL

Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * HAMMES MICHAEL N

2. Issuer Name and Ticker or Trading

Issuer

Symbol

(Middle)

(Check all applicable)

5. Relationship of Reporting Person(s) to

NAVISTAR INTERNATIONAL

3. Date of Earliest Transaction

CORP [NAV]

(Last) (First)

(Month/Day/Year) 01/26/2017

X_ Director 10% Owner Officer (give title Other (specify below)

NAVISTAR INTERNATIONAL CORPORATION, 2701 NAVISTAR

(Street)

DRIVE

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

LISLE, IL 60532

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) 5. Amount of 1.Title of 2. Transaction Date 2A. Deemed 3. 7. Nature of Security Ownership

(Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

Transactionr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned **Following** Reported

Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

(A)

(Instr. 3 and 4)

Transaction(s) (Instr. 4)

Code V Amount (D) Price

D

\$ 21.015 10,261 D M 5,000 Α

Stock Common

01/26/2017

01/26/2017

Common

Stock

S

5.000

\$ 29.0431 5.261 (1)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		vative Expiration Date ies (Month/Day/Year) ed ed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 21.015	01/26/2017		M		5,000	(2)	12/11/2019	Common Stock	5,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

HAMMES MICHAEL N NAVISTAR INTERNATIONAL CORPORATION 2701 NAVISTAR DRIVE LISLE, IL 60532



Signatures

Curt A. Kramer Attorney

in fact 01/30/2017

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$28.86 to \$29.38, inclusive. The reporting person undertakes to provide Navistar International Corporation ("Navistar"), any security holder of Navistar, or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (1) to this Form 4.
- (2) The option became exercisable in three annual installments as follows: 1,666 shares on 12/11/2013; 1,667 shares on 12/11/2014; and 1,667 shares on 12/11/2015.

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