Edgar Filing: OSHKOSH CORP - Form 3

OSHKOSH CORP Form 3 May 14, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement OSHKOSH CORP [OSK] Messina Robert S (Month/Day/Year) 05/12/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O OSHKOSH (Check all applicable) CORPORATION, Â 2307 OREGON STREET 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Senior VP, Engineering & Tech. _X_ Form filed by One Reporting Person OSHKOSH, Â WIÂ 54902 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4) 3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

D Â

Common Stock

5,282.868 (1)

SEC 1473 (7-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Derivative Security:

1

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Options (2)	(3)	09/20/2017	Common Stock	2,500	\$ 28.73	D	Â
Options (2)	(4)	09/17/2019	Common Stock	3,400	\$ 28.96	D	Â
Options (2)	(5)	09/16/2020	Common Stock	2,600	\$ 47.33	D	Â
Options (2)	(6)	09/15/2021	Common Stock	5,475	\$ 46.94	D	Â
Stock Appreciation Right (7)	(8)	09/19/2018	Common Stock	4,500	\$ 19.24	D	Â
Restricted Stock Unit Award (9)	(10)	09/16/2016	Common Stock	1,562.797	\$ 0	D	Â
Restricted Stock Unit Award (9)	(11)	09/15/2017	Common Stock	2,971.251	\$ 0	D	Â
Restricted Stock Unit Award (9)	(12)	02/13/2018	Common Stock	2,150	\$ 0	D	Â
Restricted Stock Unit Award (13)	(14)	12/15/2015	Common Stock	2,546.928	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
Messina Robert S C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH Â WIÂ 54902	Â	Â	Senior VP, Engineering & Tech.	Â		

Signatures

Robert S.
Messina

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Total includes 1,067 shares of Restricted Stock, subject to certain vesting and forfeiture provisions, and includes a total of 713.07 shares acquired under the Oshkosh Corporation Employee Stock Purchase Plan through 5/14/2015.
- (2) Option (right to buy) granted pursuant to the Company's Stock Plan.

Reporting Owners 2

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- (3) Options vest in one-third (1/3) annual increments commencing on 09/20/2011.
- (4) Options vest in one-third (1/3) annual increments commencing on 9/17/2013.
- (5) Options vest in one-third (1/3) annual increments commencing on 9/16/2014.
- (6) Options vest in one-third (1/3) annual increments commencing on 9/15/2015.
- (7) Stock Appreciation Rights Award granted pursuant to the Company's Stock Plan.
- (8) Stock Appreciation Rights Award vests in one-third (1/3) annual increments commencing on 9/19/2012.
- (9) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (10) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 9/16/2014.
- (11) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 9/15/2015.
- (12) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 2/13/2016.
- (13) Each Restricted Stock Unit represents a contingent right to receive the cash equivalent of one share of OSK Common Stock.
- (14) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 9/17/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.