

Edgar Filing: PIMCO ETF Trust - Form SC 13G/A

PIMCO ETF Trust
Form SC 13G/A
February 12, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934
(Amendment No. 2)*

PIMCO 1-5 Year US TIPS Index ETF

72201R205

December 31, 2015

Check the appropriate box to designate the rule pursuant to which this
Schedule is filed:

☒ Rule 13d-1 (b)
☐ Rule 13d-1 (c)
☐ Rule 13d-1 (d)

*The remainder of this cover page shall be filled out for a reporting
person's initial filing on this form with respect to the subject class
of securities, and for any subsequent amendment containing information
which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not
be deemed to be "filed" for the purpose of Section 18 of the Securities
Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of
that section of the Act but shall be subject to all other provisions of
the Act (however, see the Notes).

CUSIP No. 72201R205

1. Names of Reporting Persons.

I.R.S. Identification Nos. of above persons

SunTrust Banks, Inc. as Parent Holding Company for SunTrust Investment
Services, Inc. and for GenSpring Holdings, Inc. and for SunTrust Bank Holding
Company as Parent Company for SunTrust Bank in various fiduciary capacities.
58-1575035

2. Check the Appropriate Box if a Member of a Group

(a) _____
(b) _____

3. SEC Use Only

4. Citizenship or Place of Organization
Georgia

Number of	5. Sole Voting Power
Shares	
Beneficially	6. Shared Voting Power
Owned by	
Each	7. Sole Dispositive Power
Reporting	

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Person With 8. Shared Dispositive Power

9. Aggregate Amount Beneficially Owned by Each Reporting Person
Less than 1% of outstanding shares.

10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares*

11. Percent of Class Represented by Amount in Row (9)

12. Type of Reporting Person*
HC / BK / IA / BD

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Under the Securities Exchange Act of 1934

Item 1.

(a) Name of Issuer:

PIMCO ETF Trust

(b) Address of Issuer's Principal Executive Offices:

840 Newport Center Drive

Newport Beach, CA 92660

Item 2.

(a) Name of Person Filing:

SunTrust Banks, Inc. as Parent Holding Company for SunTrust Investment Services, Inc. and for SunTrust Bank Holding Company as Parent Company for SunTrust Bank in various fiduciary capacities.

(b) Address of Principal Business Office or, if none, Residence:

303 Peachtree Street, NE

Atlanta, Georgia 30308

(c) Citizenship:

SunTrust Banks, Inc. is a Georgia corporation; SunTrust Investment Services, Inc. is a Georgia corporation; SunTrust Bank Holding Company is a Florida corporation; SunTrust Bank is a Georgia banking association.

(d) Title of Class of Securities:

Exchange traded fund

(e) CUSIP Number

72201R205

Item 3. If this statement is filed pursuant to SS240.13d-1(b) or 240.13-2(b) or (c), check whether the person filing is a:

(a) ☒ [X] Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o);

(b) ☒ [x] Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c);

(c) ☐ [] Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c);

(d) ☒ [X] Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8);

(e) ☐ [] An investment adviser in accordance with SS240.13d-1(b)(1)(ii)(E);

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- (f) ☐ An employee benefit plan or endowment fund in accordance with SS240.13d-1(b)(1)(ii)(F);
- (g) ☒ A parent holding company or control person in accordance with SS240.13d-1(b)(1)(ii)(G);
- (h) ☐ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) ☐ A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) ☐ Group, in accordance with SS240.13d-1(b)(1)(ii)(J).

Item 4. Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owned:
- (b) Percent of class: