Sottile James Form 4 September 06, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

SCIENTIFIC GAMES CORP

3. Date of Earliest Transaction

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Sottile James

(Last) (First) (Middle)

C/O SCIENTIFIC GAMES CORPORATION, 6601 BERMUDA ROAD

2. Transaction Date 2A. Deemed

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

09/04/2018

Symbol

[SGMS]

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Other (specify

X\_ Officer (give title below)

Exec VP & Chief Legal Officer

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

LAS VEGAS, NV 89119

(City)

1.Title of

Security

(Instr. 3)

(State)

(Month/Day/Year)

(Zip)

Execution Date, if

(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

3. 4. Securities TransactionAcquired (A) or

Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following

6. Ownership Form: Direct (D) or Indirect Beneficial (Instr. 4)

7. Nature of Indirect Ownership (Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	onof Derivative Exp		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (	` /	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	09/04/2018		A	6,594		<u>(1)</u>	(1)	Common Stock	6,594
Restricted Stock Units	(2)	09/04/2018		A	2,687		(2)	(2)	Common Stock	2,687
Employee Stock Option (right to buy)	\$ 30.33	09/04/2018		A	5,006		(3)	09/03/2028	Common Stock	5,006
Employee Stock Option (right to buy)	\$ 30.33	09/04/2018		A	5,006		<u>(4)</u>	09/03/2028	Common Stock	5,006

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Sottile James C/O SCIENTIFIC GAMES CORPORATION 6601 BERMUDA ROAD LAS VEGAS, NV 89119

Exec VP & Chief Legal Officer

# **Signatures**

/s/ Su Shi, attorney-in-fact for James
Sottile
09/06/2018

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units are scheduled to vest in three equal annual installments beginning on August 31, 2019. Each unit converts into a share of common stock on a one-for-one basis.
- (2) The restricted stock units are scheduled to vest in four installments on March 20, 2019 (671 shares), March 20, 2020 (672 shares), March 20, 2021 (672 shares) and March 20, 2022 (672 shares). Each unit converts into a share of common stock on a one-for-one basis.
- (3) The stock options become exercisable in four installments on March 20, 2019 (1,251 shares), March 20, 2020 (1,252 shares), March 20, 2021 (1,251 shares) and March 20, 2022 (1,252 shares).
  - The stock options are scheduled to become exercisable in four annual installments beginning on March 20, 2019 (each, a "Vesting Date"), subject to the Company's 60-trading day average closing price meeting or exceeding \$36.40 (the "Performance Goal") prior to March 20,
- (4) 2022. If the Performance Goal is met prior to the first Vesting Date, then all four annual installments will vest in accordance with the vesting schedule. If the Performance Goal is met subsequent to the first Vesting Date, each annual installment relating to a Vesting Date that has already occurred will automatically vest upon achievement of the Performance Goal and any remaining installments will vest in accordance with the vesting schedule. If the Performance Goal is not met by March 20, 2022, all stock options are forfeited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.