### Edgar Filing: SUN LIFE FINANCIAL INC - Form 3

#### SUN LIFE FINANCIAL INC

Form 3 March 08, 2011

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

3235-0104 Number:

Expires: Estimated average

January 31, 2005

**SECURITIES** 

burden hours per response...

0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *  Sun Life Assurance CO of Canada			2. Date of Event Requiring Statement (Month/Day/Year) 03/03/2011		3. Issuer Name and Ticker or Trading Symbol KAYNE ANDERSON MIDSTREAM/ENERGY FUND, INC. [KMF]				
(Last)	(First)	(Middle)	03/03/2011		4. Relationsh Person(s) to l	ip of Reporting	,	5. If Amendment, Date Original Filed(Month/Day/Year)	
150 KING S	STREET V	VEST,Â			1 Cison(s) to 1	100401		rned(Month/Day/Tear)	
	(Street)	,			(Check	all applicable)	6. Individual or Joint/Group		
TORONTO	I5H 1J9			Officer	DirectorX 10% Owner Officer Other give title below) (specify below)		Filing (Cheek Applicable Line)		
(City)	(State)	(Zip)		Table I - N	Non-Deriva	tive Securiti	ies Be	eneficially Owned	
1.Title of Secu (Instr. 4)	rity			2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		ture of Indirect Beneficial ership :. 5)	
\$55,000,000 3.93% Series A Unsecured Senior Notes due 3/3/16			ecured	\$ 15,000,000		D	Â		
\$55,000,000 3.93% Series A Unsecured Senior Notes due 3/3/16				\$ 15,000,000		I	See below.		
Reminder: Repowned directly			ach class of secu	rities benefici	ially S	SEC 1473 (7-02	!)		
	Perso infor	ons who res	pond to the called in this found unless the	orm are not					

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: SUN LIFE FINANCIAL INC - Form 3

Number of

Shares

or Indirect

(I) (Instr. 5)

1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) Derivative (Instr. 4) Price of Derivative Security: **Expiration Title** Date Amount or Security Direct (D)

**Reporting Owners** 

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Other		
Sun Life Assurance CO of Canada 150 KING STREET WEST TORONTO, A6 M5H 1J9	Â	ÂX	Â	Â		
SUN LIFE FINANCIAL INC SUN LIFE ASSURANCE CO OF CANADA 150 KING STREET WEST SUITE 1400 TORONTO. A6Â	Â	ÂX	Â	Â		

Exercisable

Date

# **Signatures**

/s/ John T. Donnelly, Senior Managing Director, Investment Products and Strategies on behalf of Sun Life Assurance Company of Canada 03/08/2011

\*\*Signature of Reporting Person

Date

/s/ Ann King, Assistant Vice President and Senior Counsel

03/08/2011

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Â

#### **Remarks:**

Sun Life Financial Inc. owns 100% of the outstanding shares of common stock of Sun Life Assur Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2