

Edgar Filing: HUMANA INC - Form 4

HUMANA INC
 Form 4
 December 07, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
 Murray, James E.
 500 W. Main St.
 Louisville, KY 40202
 USA
2. Issuer Name and Ticker or Trading Symbol
 Humana Inc.
 HUM
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
 November 30, 2001
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 () Director () 10% Owner (X) Officer (give title below) () Other
 (specify below)
 Chief Operating Officer-Health Plan Division
7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Transaction Code	4. Securities Acquired (A) or Disposed of (D)		5. Amount of Securities Beneficially Owned at End of Month
			Amount	Price	
Common (1)	11/12/01	M	5,000	\$8.9098	
Common (1)	11/12/01	F	505	\$12.24	
Common (1)	11/12/01	F	3,639	\$12.24	178,231
Common (1)					12,843

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price	3. Transaction Date	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities	8. Put or Call
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	Price of			posed of (D)	Day/Year)				Title and Number	
	Deriva-				Date Expir				of Shares	
	tive			A/	Exer-ation					
	Secu-			D	cisa-Date					
	rity	Date	Code	V	Amount	ble				
Option (2)	11.0131					09/13 09/13	Common (1)	2,500		
						/91 /00				
Option (2)	11.0131					09/13 09/13	Common (1)	2,500		
						/92 /00				
Option (2)	11.0131					09/13 09/13	Common (1)	2,500		
						/93 /00				
Option (2)	8.9098	11/12 M		1,667	D	11/18 11/18	Common (1)	0		
		/01				/92 /01				
Option (2)	8.9098	11/12 M		1,667	D	11/18 11/18	Common (1)	0		
		/01				/93 /01				
Option (2)	8.9098	11/12 M		1,666	D	11/18 11/18	Common (1)	0		
		/01				/94 /01				
Option (2)	6.5625					04/14 04/14	Common (1)	31,250		
						/95 /03				
Option (2)	6.5625					04/14 04/14	Common (1)	31,250		
						/96 /03				
Option (2)	6.5625					04/14 04/14	Common (1)	31,250		
						/97 /03				
Option (2)	6.5625					04/14 04/14	Common (1)	31,250		
						/98 /03				
Option (2)	15.5938					9/17/ 1/12/	Common (1)	34,400		
						99 05				
Option (4)	15.5938					9/17/ 1/9/0	Common (1)	39,936		
						99 7				
Option (4)	15.5938					1/9/0 1/9/0	Common (1)	19,669		
						0 7				
Option-ISO (4)	15.5938					9/17/ 1/15/	Common (1)	4,316		
						99 08				
Option-ISO (4)	15.5938					1/15/ 1/15/	Common (1)	4,316		
						00 08				
Option-ISO (4)	15.5938					1/15/ 1/15/	Common (1)	4,316		
						01 08				
Option-NQ (4)	15.5938					9/17/ 1/15/	Common (1)	7,284		
						99 08				
Option-NQ (4)	15.5938					1/15/ 1/15/	Common (1)	7,284		
						00 08				
Option-NQ (4)	15.5938					1/15/ 1/15/	Common (1)	7,284		
						00 08				

