

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

FRANKLIN UNIVERSAL TRUST

Form NSAR-B

October 30, 2015

PAGE 1  
000 B000000 08/31/2015  
000 C000000 0000833040  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FRANKLIN UNIVERSAL TRUST  
001 B000000 811-05569  
001 C000000 6503122000  
002 A000000 ONE FRANKLIN PARKWAY  
002 B000000 SAN MATEO  
002 C000000 CA  
002 D010000 94403  
002 D020000 1906  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FRANKLIN ADVISERS, INC.  
008 B000001 A  
008 C000001 801-26292  
008 D010001 SAN MATEO  
008 D020001 CA  
008 D030001 94403  
008 D040001 1906  
010 A000001 FRANKLIN TEMPLETON SERVICES, LLC  
010 B000001 801-31192  
010 C010001 SAN MATEO  
010 C020001 CA  
010 C030001 94403  
010 C040001 1906  
011 A000001 UBS FINANCIAL SERVICES INC  
011 B000001 8-16267  
011 C010001 WEEHAWKEN  
011 C020001 NJ  
011 C030001 07086  
011 A000002 A.G. EDWARDS & SONS INC.  
011 B000002 8-13580  
011 C010002 ST. LOUIS  
011 C020002 MO  
011 C030002 63103  
011 A000003 CITIGROUP GLOBAL MARKETS INC  
011 B000003 8-00541  
011 C010003 NEW YORK  
011 C020003 NY  
PAGE 2  
011 C030003 10022  
011 A000004 RBS CAPITAL MARKETS CORPORATION  
011 B000004 8-45411  
011 C010004 NEW YORK  
011 C020004 NY  
011 C030004 10006

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

011 C040004 1404  
011 A000005 RAYMOND JAMES & ASSOCIATES  
011 B000005 8-04393  
011 C010005 ST. PETERSBURG  
011 C020005 FL  
011 C030005 33733  
011 C040005 4508  
011 A000006 BEAR STEARNS & CO. INC  
011 B000006 8-35008  
011 C010006 NEW YORK  
011 C020006 NY  
011 C030006 10179  
011 A000007 FIRST BOSTON CORP  
011 B000007 8-91836  
011 C010007 NEW YORK  
011 C020007 NY  
011 C030007 10055  
011 A000008 ALEX BROWN INVESTMENT MANAGEMENT LLC  
011 B000008 8-04401  
011 C010008 BALTIMORE  
011 C020008 MD  
011 C030008 21202  
011 A000009 DONALDSON LUFKIN & JENRETTE INC  
011 B000009 8-96058  
011 C010009 NEW YORK  
011 C020009 NY  
011 C030009 10005  
011 A000010 DREXEL BURNHAM LAMBERT REAL ESTATE  
011 B000010 8-5829  
011 C010010 GREENVILLE  
011 C020010 SC  
011 C030010 29602  
011 A000011 GOLDMAN, SACHS & CO  
011 B000011 8-00129  
011 C010011 NEW YORK  
011 C020011 NY  
011 C030011 10004  
012 A000001 AMERICAN STOCK TRANSFER AND TRUST COMPANY LLC  
012 B000001 84-00416  
012 C010001 WALL STREET STATION  
012 C020001 NY  
012 C030001 10269  
012 C040001 0560  
013 A000001 PRICewaterhouseCOOPERS LLP  
013 B010001 SAN FRANCISCO  
PAGE 3  
013 B020001 CA  
013 B030001 94111  
013 B040001 4004  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
015 C040001 0001  
015 E010001 X  
015 A000002 FRANKLIN TEMPLETON INVESTOR SERVICES LLC  
015 B000002 C  
015 C010002 SAN MATEO  
015 C020002 CA  
015 C030002 94403  
015 C040002 1906

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 E030002 X  
015 A000003 NATIONAL AUSTRALIA BANK LTD  
015 B000003 S  
015 C010003 MELBOURNE  
015 D010003 AUSTRALIA  
015 E040003 X  
015 A000004 CITIBANK INTERNATIONAL PLC  
015 B000004 S  
015 C010004 BRUSSELS  
015 D010004 BELGIUM  
015 E040004 X  
015 A000005 CITIBANK NA  
015 B000005 S  
015 C010005 SAO PAULO  
015 D010005 BRAZIL  
015 E040005 X  
015 A000006 CIBC MELLON TRUST CO  
015 B000006 S  
015 C010006 TORONTO  
015 D010006 CANADA  
015 E040006 X  
015 A000007 HSBC BANK (CHINA) CO LTD  
015 B000007 S  
015 C010007 SHANGHAI  
015 D010007 CHINA  
015 E040007 X  
015 A000008 HSBC BANK (CHINA) CO LTD  
015 B000008 S  
015 C010008 SHENZHEN  
015 D010008 CHINA  
015 E040008 X  
015 A000009 SKANDINAVISKA ENSKILDA BANKEN AB  
015 B000009 S  
015 C010009 COPENHAGEN  
015 D010009 DENMARK  
015 E040009 X  
015 A000010 EUROCLEAR BANK  
015 B000010 S  
015 C010010 BRUSSELS  
015 D010010 EUROMARKET  
015 E040010 X  
015 A000011 SKANDINAVISKA ENSKILDA BANKEN AB  
015 B000011 S  
015 C010011 HELSINKI  
015 D010011 FINLAND  
015 E040011 X  
015 A000012 BNP PARIBAS SECURITIES SERVICES  
015 B000012 S  
015 C010012 PARIS  
015 D010012 FRANCE  
015 E040012 X  
015 A000013 BNY MELLON ASSET SERVICING GMBH  
015 B000013 S  
015 C010013 FRANKFURT AM MAIN  
015 D010013 GERMANY  
015 E040013 X  
015 A000014 THE HONGKONG AND SHANGHAI BANKING CORP LTD  
015 B000014 S  
015 C010014 HONG KONG  
015 D010014 HONG KONG  
015 E040014 X

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 A000015 DEUTSCHE BANK AG  
015 B000015 S  
015 C010015 JAKARTA  
015 D010015 INDONESIA  
015 E040015 X  
015 A000016 THE BANK OF NEW YORK MELLON  
015 B000016 S  
015 C010016 LONDON  
015 D010016 IRELAND  
015 E040016 X  
015 A000017 THE BANK OF TOKYO-MITSUBISHI UFJ LTD  
015 B000017 S  
015 C010017 TOKYO  
015 D010017 JAPAN  
015 E040017 X  
015 A000018 INTESA SANPAOLO SPA  
015 B000018 S  
015 C010018 MILAN  
015 D010018 ITALY  
015 E040018 X  
015 A000019 BANCO NACIONAL DE MEXICO SA  
015 B000019 S  
015 C010019 MEXICO CITY  
015 D010019 MEXICO  
015 E040019 X  
PAGE 5  
015 A000020 THE BANK OF NEW YORK MELLON SA/NV  
015 B000020 S  
015 C010020 BRUSSELS  
015 D010020 NETHERLANDS  
015 E040020 X  
015 A000021 NATIONAL NOMINEES LTD  
015 B000021 S  
015 C010021 WELLINGTON  
015 D010021 NEW ZEALAND  
015 E040021 X  
015 A000022 DEUTSCHE BANK AG  
015 B000022 S  
015 C010022 MAKATI CITY  
015 D010022 PHILIPPINES  
015 E040022 X  
015 A000023 CITIBANK INTERNATIONAL LIMITED  
015 B000023 S  
015 C010023 PORTO  
015 D010023 PORTUGAL  
015 E040023 X  
015 A000024 UNITED OVERSEAS BANK LTD  
015 B000024 S  
015 C010024 SINGAPORE  
015 D010024 SINGAPORE  
015 E040024 X  
015 A000025 THE STANDARD BANK OF SOUTH AFRICA LTD  
015 B000025 S  
015 C010025 JOHANNESBURG  
015 D010025 SOUTH AFRICA  
015 E040025 X  
015 A000026 BANCO BILBAO VIZCAYA ARGENTARIA SA  
015 B000026 S  
015 C010026 MADRID  
015 D010026 SPAIN  
015 E040026 X  
015 A000027 THE HONGKONG AND SHANGHAI BANKING CORP LTD

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 B000027 S  
015 C010027 COLOMBO  
015 D010027 SRI LANKA  
015 E040027 X  
015 A000028 SKANDINAVISKA ENSKILDA BANKEN  
015 B000028 S  
015 C010028 STOCKHOLM  
015 D010028 SWEDEN  
015 E040028 X  
015 A000029 CREDIT SUISSE AG  
015 B000029 S  
015 C010029 ZURICH  
015 D010029 SWITZERLAND  
015 E040029 X  
015 A000030 THE HONGKONG AND SHANGHAI BANKING CORP LTD  
PAGE 6  
015 B000030 S  
015 C010030 BANGKOK  
015 D010030 THAILAND  
015 E040030 X  
015 A000031 THE BANK OF NEW YORK MELLON  
015 B000031 S  
015 C010031 LONDON  
015 D010031 UNITED KINGDOM  
015 E040031 X  
018 000000 Y  
019 A000000 Y  
019 B000000 163  
019 C000000 FRNKTEMINV  
020 A000001 ELECTRONIC SECURITIES PROCESSING (ESP) LLC  
020 B000001 13-4087626  
020 C000001 1  
021 000000 1  
022 A000001 JP MORGAN SECURITIES LLC  
022 B000001 13-4110995  
022 C000001 11883  
022 D000001 3655  
022 A000002 CREDIT SUISSE HOLDINGS (USA) INC  
022 B000002 13-2853402  
022 C000002 5720  
022 D000002 7118  
022 A000003 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
022 C000003 7848  
022 D000003 2143  
022 A000004 DEUTSCHE BANK SECURITIES INC  
022 B000004 13-2730828  
022 C000004 4670  
022 D000004 3188  
022 A000005 CITIGROUP GLOBAL MARKETS INC  
022 B000005 11-2418191  
022 C000005 5469  
022 D000005 1974  
022 A000006 GOLDMAN, SACHS & CO  
022 B000006 13-5108880  
022 C000006 6561  
022 D000006 394  
022 A000007 BARCLAYS CAPITAL INC  
022 B000007 06-1031656  
022 C000007 3885  
022 D000007 2641  
022 A000008 MORGAN STANLEY & CO INC  
022 B000008 13-2655998

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

022 C000008 2987  
022 D000008 2481  
022 A000009 CANTOR FITZGERALD & CO  
022 B000009 13-3680184  
022 C000009 0  
PAGE 7  
022 D000009 2233  
022 A000010 RBC DOMINION SECURITIES INC.  
022 C000010 1201  
022 D000010 837  
023 C000000 52218  
023 D000000 30780  
024 000000 Y  
025 A000001 JP MORGAN SECURITIES LLC  
025 B000001 13-4110995  
025 C000001 D  
025 D000001 1674  
025 A000002 CITIGROUP INC.  
025 B000002 52-1568099  
025 C000002 D  
025 D000002 1068  
026 A000000 N  
026 B000000 Y  
026 C000000 Y  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
028 A010000 0  
028 A020000 0  
028 A030000 0  
028 A040000 0  
028 B010000 0  
028 B020000 0  
028 B030000 0  
028 B040000 0  
028 C010000 0  
028 C020000 0  
028 C030000 0  
028 C040000 0  
028 D010000 0  
028 D020000 0  
028 D030000 0  
028 D040000 0  
028 E010000 0  
028 E020000 0  
028 E030000 0  
028 E040000 0  
028 F010000 0  
028 F020000 0  
028 F030000 0  
028 F040000 0  
028 G010000 0  
028 G020000 0  
PAGE 8  
028 G030000 0  
028 G040000 0  
028 H000000 0  
030 A000000 0

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

|     |         |       |
|-----|---------|-------|
| 030 | B000000 | 0.00  |
| 030 | C000000 | 0.00  |
| 031 | A000000 | 0     |
| 031 | B000000 | 0     |
| 032 | 000000  | 0     |
| 033 | 000000  | 0     |
| 035 | 000000  | 0     |
| 036 | B000000 | 0     |
| 038 | 000000  | 0     |
| 042 | A000000 | 0     |
| 042 | B000000 | 0     |
| 042 | C000000 | 0     |
| 042 | D000000 | 0     |
| 042 | E000000 | 0     |
| 042 | F000000 | 0     |
| 042 | G000000 | 0     |
| 042 | H000000 | 0     |
| 043 | 000000  | 0     |
| 044 | 000000  | 0     |
| 045 | 000000  | Y     |
| 046 | 000000  | N     |
| 047 | 000000  | Y     |
| 048 | 000000  | 0.750 |
| 048 | A010000 | 0     |
| 048 | A020000 | 0.000 |
| 048 | B010000 | 0     |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0     |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0     |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0     |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0     |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0     |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0     |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0     |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0     |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0     |
| 048 | K020000 | 0.000 |
| 049 | 000000  | N     |
| 050 | 000000  | N     |
|     | PAGE    | 9     |
| 051 | 000000  | N     |
| 052 | 000000  | N     |
| 053 | A000000 | Y     |
| 053 | B000000 | Y     |
| 053 | C000000 | N     |
| 054 | A000000 | Y     |
| 054 | B000000 | Y     |
| 054 | C000000 | Y     |
| 054 | D000000 | N     |
| 054 | E000000 | N     |
| 054 | F000000 | N     |
| 054 | G000000 | Y     |
| 054 | H000000 | Y     |
| 054 | I000000 | N     |

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

|     |         |    |      |
|-----|---------|----|------|
| 054 | J000000 | Y  |      |
| 054 | K000000 | N  |      |
| 054 | L000000 | Y  |      |
| 054 | M000000 | Y  |      |
| 054 | N000000 | N  |      |
| 054 | O000000 | Y  |      |
| 055 | A000000 | N  |      |
| 055 | B000000 | N  |      |
| 056 | 000000  | Y  |      |
| 057 | 000000  | N  |      |
| 058 | A000000 | N  |      |
| 059 | 000000  | Y  |      |
| 060 | A000000 | Y  |      |
| 060 | B000000 | Y  |      |
| 061 | 000000  |    | 0    |
| 062 | A000000 | Y  |      |
| 062 | B000000 |    | 0.0  |
| 062 | C000000 |    | 0.0  |
| 062 | D000000 |    | 0.0  |
| 062 | E000000 |    | 0.0  |
| 062 | F000000 |    | 0.0  |
| 062 | G000000 |    | 0.0  |
| 062 | H000000 |    | 0.0  |
| 062 | I000000 |    | 0.0  |
| 062 | J000000 |    | 0.0  |
| 062 | K000000 |    | 0.0  |
| 062 | L000000 |    | 0.0  |
| 062 | M000000 |    | 0.0  |
| 062 | N000000 |    | 0.0  |
| 062 | O000000 |    | 0.0  |
| 062 | P000000 |    | 86.6 |
| 062 | Q000000 |    | 0.0  |
| 062 | R000000 |    | 45.4 |
| 063 | A000000 |    | 0    |
| 063 | B000000 |    | 3.0  |
| 064 | A000000 | N  |      |
| 064 | B000000 | N  |      |
|     | PAGE    | 10 |      |
| 066 | A000000 | N  |      |
| 067 | 000000  | Y  |      |
| 068 | A000000 | N  |      |
| 068 | B000000 | N  |      |
| 069 | 000000  | N  |      |
| 070 | A010000 | Y  |      |
| 070 | A020000 | N  |      |
| 070 | B010000 | N  |      |
| 070 | B020000 | N  |      |
| 070 | C010000 | N  |      |
| 070 | C020000 | N  |      |
| 070 | D010000 | N  |      |
| 070 | D020000 | N  |      |
| 070 | E010000 | N  |      |
| 070 | E020000 | N  |      |
| 070 | F010000 | N  |      |
| 070 | F020000 | N  |      |
| 070 | G010000 | N  |      |
| 070 | G020000 | N  |      |
| 070 | H010000 | N  |      |
| 070 | H020000 | N  |      |
| 070 | I010000 | N  |      |
| 070 | I020000 | N  |      |
| 070 | J010000 | Y  |      |



Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

|       |         |    |        |
|-------|---------|----|--------|
| 070   | J020000 | Y  |        |
| 070   | K010000 | Y  |        |
| 070   | K020000 | Y  |        |
| 070   | L010000 | Y  |        |
| 070   | L020000 | Y  |        |
| 070   | M010000 | Y  |        |
| 070   | M020000 | N  |        |
| 070   | N010000 | Y  |        |
| 070   | N020000 | N  |        |
| 070   | O010000 | Y  |        |
| 070   | O020000 | Y  |        |
| 070   | P010000 | Y  |        |
| 070   | P020000 | N  |        |
| 070   | Q010000 | N  |        |
| 070   | Q020000 | N  |        |
| 070   | R010000 | N  |        |
| 070   | R020000 | N  |        |
| 071   | A000000 |    | 52218  |
| 071   | B000000 |    | 51580  |
| 071   | C000000 |    | 254066 |
| 071   | D000000 |    | 20     |
| 072   | A000000 | 12 |        |
| 072   | B000000 |    | 11768  |
| 072   | C000000 |    | 3345   |
| 072   | D000000 |    | 0      |
| 072   | E000000 |    | 0      |
| 072   | F000000 |    | 1941   |
|       | PAGE    | 11 |        |
| 072   | G000000 |    | 0      |
| 072   | H000000 |    | 0      |
| 072   | I000000 |    | 56     |
| 072   | J000000 |    | 2      |
| 072   | K000000 |    | 12     |
| 072   | L000000 |    | 23     |
| 072   | M000000 |    | 17     |
| 072   | N000000 |    | 0      |
| 072   | O000000 |    | 0      |
| 072   | P000000 |    | 1722   |
| 072   | Q000000 |    | 0      |
| 072   | R000000 |    | 45     |
| 072   | S000000 |    | 16     |
| 072   | T000000 |    | 0      |
| 072   | U000000 |    | 0      |
| 072   | V000000 |    | 0      |
| 072   | W000000 |    | 91     |
| 072   | X000000 |    | 3925   |
| 072   | Y000000 |    | 3      |
| 072   | Z000000 |    | 11191  |
| 072AA | 000000  |    | 3234   |
| 072BB | 000000  |    | 2091   |
| 072CC | 010000  |    | 0      |
| 072CC | 020000  |    | 31349  |
| 072DD | 010000  |    | 11913  |
| 072DD | 020000  |    | 0      |
| 072EE | 000000  |    | 0      |
| 073   | A010000 |    | 0.4700 |
| 073   | A020000 |    | 0.0000 |
| 073   | B000000 |    | 0.0000 |
| 073   | C000000 |    | 0.0000 |
| 074   | A000000 |    | 0      |
| 074   | B000000 |    | 0      |
| 074   | C000000 |    | 0      |

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

|     |         |                              |
|-----|---------|------------------------------|
| 074 | D000000 | 154750                       |
| 074 | E000000 | 258                          |
| 074 | F000000 | 78952                        |
| 074 | G000000 | 0                            |
| 074 | H000000 | 0                            |
| 074 | I000000 | 1959                         |
| 074 | J000000 | 194                          |
| 074 | K000000 | 0                            |
| 074 | L000000 | 3834                         |
| 074 | M000000 | 78                           |
| 074 | N000000 | 240025                       |
| 074 | O000000 | 89                           |
| 074 | P000000 | 1151                         |
| 074 | Q000000 | 60000                        |
| 074 | R010000 | 0                            |
| 074 | R020000 | 0                            |
| 074 | R030000 | 0                            |
|     | PAGE    | 12                           |
| 074 | R040000 | 38                           |
| 074 | S000000 | 0                            |
| 074 | T000000 | 178747                       |
| 074 | U010000 | 25132                        |
| 074 | U020000 | 0                            |
| 074 | V010000 | 7.11                         |
| 074 | V020000 | 0.00                         |
| 074 | W000000 | 0.0000                       |
| 074 | X000000 | 1506                         |
| 074 | Y000000 | 0                            |
| 075 | A000000 | 0                            |
| 075 | B000000 | 198891                       |
| 076 | 000000  | 6.10                         |
| 077 | A000000 | Y                            |
| 077 | B000000 | Y                            |
| 078 | 000000  | N                            |
| 080 | A000000 | ICI Mutual Insurance Company |
| 080 | B000000 | None                         |
| 080 | C000000 | 100000                       |
| 081 | A000000 | Y                            |
| 081 | B000000 | 596                          |
| 082 | A000000 | N                            |
| 082 | B000000 | 0                            |
| 083 | A000000 | N                            |
| 083 | B000000 | 0                            |
| 084 | A000000 | N                            |
| 084 | B000000 | 0                            |
| 085 | A000000 | Y                            |
| 085 | B000000 | N                            |
| 086 | A010000 | 0                            |
| 086 | A020000 | 0                            |
| 086 | B010000 | 0                            |
| 086 | B020000 | 0                            |
| 086 | C010000 | 0                            |
| 086 | C020000 | 0                            |
| 086 | D010000 | 0                            |
| 086 | D020000 | 0                            |
| 086 | E010000 | 0                            |
| 086 | E020000 | 0                            |
| 086 | F010000 | 0                            |
| 086 | F020000 | 0                            |
| 087 | A010000 | COMMON STOCK                 |
| 087 | A020000 | 355145103                    |
| 087 | A030000 | FT                           |

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

088 A000000 Y  
088 B000000 N  
088 C000000 N  
088 D000000 N

SIGNATURE TISHA WILBURN  
TITLE ASSISTANT TREASURER