

SEI INVESTMENTS CO
Form 4
June 14, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BLUMENSTEIN SARAH

(Last) (First) (Middle)

2. Issuer Name **and** Ticker or Trading
Symbol
SEI INVESTMENTS CO [SEIC]

3. Date of Earliest Transaction
(Month/Day/Year)
06/10/2016

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
STOCK OPTION (RIGHT TO PURCHASE)	06/10/2016		M	5,000 A	\$ 17.65 8,744	D	
STOCK OPTION (RIGHT TO PURCHASE)	06/10/2016		M	5,000 A	\$ 14.62 13,744	D	
STOCK OPTION (RIGHT TO PURCHASE)	06/10/2016		M	2,500 A	\$ 32.49 16,244	D	

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STOCK OPTION (RIGHT TO PURCHASE)	06/10/2016	M	4,000	A	\$ 29.61	20,244	D
COMMON STOCK	06/10/2016	S	16,500	D	\$ 49.89	3,744	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)		
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
STOCK OPTION (RIGHT TO PURCHASE)	\$ 17.65	06/10/2016		M	5,000	02/25/2011	12/15/2019	COMMON STOCK	5,000
STOCK OPTION (RIGHT TO PURCHASE)	\$ 14.62	06/10/2016		M	5,000	02/28/2014	02/16/2018	COMMON STOCK	5,000
STOCK OPTION (RIGHT TO PURCHASE)	\$ 32.49	06/10/2016		M	2,500	12/31/2004	12/10/2016	COMMON STOCK	2,500
STOCK OPTION (RIGHT TO PURCHASE)	\$ 29.61	06/10/2016		M	4,000	02/28/2014	12/13/2016	COMMON STOCK	4,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BLUMENSTEIN SARAH			X	

Signatures

RUTH MONTGOMERY (ATTORNEY IN FACT)	06/14/2016
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__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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