Edgar Filing: Spark Therapeutics, Inc. - Form 4

Spark Thera Form 4 October 05,	•								
	ЛЛ							• • • • •	APPROVAL
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287
Check the check	ger		OF CHANGES IN BENEFICIAL OW SECURITIES					Expires:	January 31, 2005
subject t Section Form 4 o	16.	NI OF CHAP						Estimated burden ho response.	ed average hours per
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).									
(Print or Type	Responses)								
1. Name and A La Barge Jo	r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (Middl	•	3. Date of Earliest Transaction				(Check all applicable)		
3737 MAR 1300	(Month/I	onth/Day/Year) /03/2016				Director 10% Owner X Officer (give title Other (specify below) below) See remarks			
	endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
PHILADEI	PHILADELPHIA, PA 19104								
(City)	(State) (Zip)) Tab	le I - Non-I	Derivative	Secu	rities Acq	uired, Disposed o	f, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	any	ecution Date, if	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	10/03/2016		М	3,000	А	\$ 3.45	8,325	D	
Common Stock	10/03/2016		S <u>(1)</u>	1,911	D	\$ 59.84 (2)	6,414	D	
Common Stock	10/03/2016		S <u>(1)</u>	1,089	D	\$ 60.66 (3)	5,325	D	
Common Stock							200	I	Held by Reporting Person's

								immediate family members	e	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474										
				information contained in this form are not required to respond unless the form displays a currently valid OMB control number.			m are not orm	(9-02)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction of Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 3.45	10/03/2016		М	3,00	0 (4)	07/01/2024	Common Stock	3,000	

Reporting Owners

Reporting Owner Name / Addres	Relationships							
1	Director	10% Owner	Officer	Other				
La Barge Joseph 3737 MARKET STREET SUITE 1300 PHILADELPHIA, PA 19104	Ļ		See remarks					
Signatures								
/s/ Joseph W. La Barge	10/05/2016							

<u>**</u>Signature of Reporting Person

Date

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in the Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 3, 2016.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$59.26 to \$60.20, inclusive. The reporting person undertakes to provide to Spark Therapeutics, Inc., any security holder of Spark Therapeutics,

(2) The source of spark inclusive interfaces to provide to spark inclusion inder of spark inclusion inder of spark inclusion. The reporting person undertaces to provide to spark inclusion, any security holder of spark inclusion. Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$60.35 to \$61.04, inclusive. The reporting person undertakes to provide to Spark Therapeutics, Inc., any security holder of Spark Therapeutics,

- (3) Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (3) to this Form 4.
- (4) 25% vested May 24, 2015 and the remainder vests in equal quarterly installments over the following three years.

Remarks:

General Counsel and Head of Business Administratioin

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.