Brooks Daniel W Form 4

# December 13, 2018 Check this box

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **Brooks Daniel W** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Independent Bank Group, Inc.

(Check all applicable)

[IBTX]

(Last) (First) 3. Date of Earliest Transaction

4. If Amendment, Date Original

(Instr. 8)

\_X\_\_ Director X\_ Officer (give title below)

10% Owner Other (specify

(Month/Day/Year) 1600 REDBUD BLVD, SUITE 400

12/13/2018

below) Vice Chairman and CRO

6. Individual or Joint/Group Filing(Check

(Middle)

(Zip)

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MCKINNEY, TX 75069

(Instr. 3)

(City) (State) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year)

(Street)

4. Securities Acquired Execution Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially

7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership

(Instr. 4)

Following Indirect (I) (Instr. 4)

Reported Transaction(s)

Owned

(A) (Instr. 3 and 4) Code V Amount (D) Price

Common 12/13/2018 Stock

P 1,000 Α 49.529

112,008

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Brooks Daniel W - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5.  onNumber of Derivative Securities Acquired (A) or Disposed of (D)		ate	Securiti	nount of derlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	Title N	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address			Relationships			
• •	Director	10% Owner	Officer	Other		
Brooks Daniel W 1600 REDBUD BLVD SUITE 400 MCKINNEY, TX 75069	X		Vice Chairman and CRO			

Date

### **Signatures**

\*\*Signature of Reporting Person

/s/ Jan Webb, As Attorney in Fact 12/13/2018

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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