

INTERNATIONAL BUSINESS MACHINES CORP  
 Form 4  
 February 09, 2016

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Rometty Virginia M

2. Issuer Name and Ticker or Trading Symbol  
 INTERNATIONAL BUSINESS MACHINES CORP [IBM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 \_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
 Chairman, Pres. and CEO

IBM CORPORATION, ONE NEW ORCHARD ROAD

02/05/2016

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

ARMONK, NY 10504

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	02/05/2016		M		21,456 A \$ 91.04	31,814.1413	D
Common Stock	02/05/2016		S		100 D \$ 125.85	31,714.1413	D
Common Stock	02/05/2016		S		200 D \$ 125.88	31,514.1413	D
Common Stock	02/05/2016		S		300 D \$ 125.885	31,214.1413	D
Common Stock	02/05/2016		S		300 D \$ 125.9	30,914.1413	D

Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

Common Stock	02/05/2016	S	400	D	\$ 125.91	30,514.1413	D	
Common Stock	02/05/2016	S	900	D	\$ 125.92	29,614.1413	D	
Common Stock	02/05/2016	S	150	D	\$ 125.93	29,464.1413	D	
Common Stock	02/05/2016	S	400	D	\$ 125.94	29,064.1413	D	
Common Stock	02/05/2016	S	300	D	\$ 125.95	28,764.1413	D	
Common Stock	02/05/2016	S	300	D	\$ 125.98	28,464.1413	D	
Common Stock	02/05/2016	S	100	D	\$ 125.99	28,364.1413	D	
Common Stock	02/05/2016	S	2,625	D	\$ 126	25,739.1413	D	
Common Stock	02/05/2016	S	800	D	\$ 126.01	24,939.1413	D	
Common Stock	02/05/2016	S	400	D	\$ 126.03	24,539.1413	D	
Common Stock	02/05/2016	S	800	D	\$ 126.04	23,739.1413	D	
Common Stock	02/05/2016	S	300	D	\$ 126.045	23,439.1413	D	
Common Stock	02/05/2016	S	600	D	\$ 126.05	22,839.1413	D	
Common Stock	02/05/2016	S	100	D	\$ 126.07	22,739.1413	D	
Common Stock	02/05/2016	S	800	D	\$ 126.08	21,939.1413	D	
Common Stock	02/05/2016	G V	3,254	D	\$ 0	176,264.6661	I <sup>(1)</sup>	trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Emp. Stock Option (right to buy)	\$ 91.04	02/05/2016		M		05/08/2010 <sup>(2)</sup>	05/07/2016	Common Stock	21,456
Phantom Stock Unit	\$ 0 <sup>(3)</sup>	02/08/2016		A <sup>(4)</sup>	162	<sup>(5)</sup>	<sup>(5)</sup>	Common Stock	162

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Rometty Virginia M IBM CORPORATION ONE NEW ORCHARD ROAD ARMONK, NY 10504	Chairman, Pres. and CEO

## Signatures

M. Clemens on behalf of V. M. Rometty  
 Date: 02/09/2016  
 Signature of Reporting Person: M. Clemens  
 Date of Signature: 02/09/2016

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of the securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (2) This grant vested in four equal annual installments; the last installment vested on the date shown above.
- (3) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (4) Acquisition of phantom stock units under the IBM Excess 401(k) Plus Plan.
- (5) Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative account under such plan.

### Remarks:

Additional transactions will be shown on a subsequent Form 4.

## Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.