Guglani Sanjay Form 4 August 02, 2012

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Guglani Sanjay

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

CARPENTER TECHNOLOGY

CORP [CRS]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

(Zip)

07/31/2012

Director 10% Owner Other (specify X\_ Officer (give title below)

**VP-Premium Engineered Products** 

C/O CARPENTER TECHNOLOGY CORPORATION, PO BOX 14662

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

READING, PA 19612

(City)

(City)	(State)	Tabl	e I - Non-D	<b>Derivative</b>	Secur	rities Acq	uired, Disposed of	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			d of (D)	Beneficially (D) or Benefic Owned Indirect (I) Owner	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	07/31/2012		A	2,693	A	<u>(1)</u>	18,750.5 <u>(2)</u>	D	
Common Stock	07/31/2012		A	5,226	A	<u>(3)</u>	23,976.5	D	
Common Stock	07/31/2012		F	1,605 (4)	D	\$ 47.86	22,371.5 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 47.86	07/31/2012		A	1,178	07/31/2013	07/31/2022	Common Stock	1,178
Employee Stock Option (Right to Buy)	\$ 47.86	07/31/2012		A	1,177	07/31/2014	07/31/2022	Common Stock	1,177
Employee Stock Option (Right to Buy)	\$ 47.86	07/31/2012		A	1,177	07/31/2015	07/31/2022	Common Stock	1,177

## **Reporting Owners**

Reporting Owner Name / Address	Reporting Owner Name / Address			
	Director	10% Owner	Officer	Other

Relationships

**Products** 

VP-Premium Engineered

Guglani Sanjay C/O CARPENTER TECHNOLOGY CORPORATION PO BOX 14662 READING, PA 19612

## **Signatures**

James D. 08/02/2012 Dee/POA

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\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was granted restricted stock units under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.
- (2) Includes shares acquired under the Carpenter Technology Corporation Dividend Reinvestment Program.
- (3) The reporting person was granted shares under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.
- (4) In connection with the distribution of reported stock award
- (5) The reporting person was granted an option to buy shares of common stock under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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