

ORTHOFIX INTERNATIONAL N V

Form 3

March 09, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Schumm Jeffrey

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

03/01/2011

3. Issuer Name **and** Ticker or Trading Symbol

ORTHOFIX INTERNATIONAL N V [OFIX]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

3451 PLANO PARKWAY

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

General Counsel

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person

LEWISVILLE,Â TXÂ 75056

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

8,625 ⁽¹⁾

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date
ExercisableExpiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

Edgar Filing: ORTHOFIX INTERNATIONAL N V - Form 3

				Shares		(I) (Instr. 5)	
Stock Option (right to buy)	Â (2)	01/16/2017	Common Stock	7,500	\$ 50.99	D	Â
Stock Option (right to buy)	Â (3)	06/30/2018	Common Stock	15,000	\$ 28.95	D	Â
Stock Option (right to buy)	Â (4)	11/21/2018	Common Stock	2,500	\$ 10.42	D	Â
Stock Option (right to buy)	Â (5)	07/25/2019	Common Stock	10,000	\$ 23.58	D	Â
Stock Option (right to buy)	Â (6)	02/15/2021	Common Stock	11,000	\$ 29.23	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Schumm Jeffrey 3451 PLANO PARKWAY LEWISVILLE, TX 75056	Â	Â	Â General Counsel	Â

Signatures

/s/ Peter F.
Pastorelle

03/09/2011

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This represents restricted stock awards for: 8,000 shares and 625 shares that vests in one-third increments on each of the first, second and third anniversary of the grant date of February 15, 2011 and June 29, 2007, respectively.
- (2) The options vest in one-third increments on each of the first, second and third anniversary of the grant date of January 16, 2007
- (3) The options vest in one-third increments on each of the first, second and third anniversary of the grant date of June 30, 2008.
- (4) The options vest in one-third increments on each of the first, second and third anniversary of the grant date of November 21, 2008.
- (5) The options vest in one-third increments on each of the first, second and third anniversary of the grant date of July 25, 2009.
- (6) The options vest in one-third increments on each of the first, second and third anniversary of the grant date of February 15, 2011.

Â

Remarks:

SeeÂ attachedÂ "FOOTNOTES"Â page.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.