

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

FIRST TRUST MLP & ENERGY INCOME FUND

Form N-PX

July 21, 2014

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act File No. 811-22738

First Trust MLP and Energy Income Fund

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Exact Name of Registrant as Specified in Declaration of Trust

120 East Liberty Drive, Suite 400, Wheaton, Illinois 60187

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Address of Principal Executive Offices (Number, Street, City, State, Zip Code)

W. Scott Jardine  
First Trust Portfolios L.P.  
120 East Liberty Drive, Suite 400  
Wheaton, Illinois 60187

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Name and Address (Number, Street, City, State, Zip Code) of Agent for Service

(630) 765-8000

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Registrant's Telephone Number, including Area Code

Date of fiscal year end: October 31  
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Date of reporting period: July 1, 2013 - June 30, 2014  
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Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 100 F Street, N.E., Washington

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D.C. 20549. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. Section 3507.

## Item 1. Proxy Voting Record

### National Grid plc

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Ticker                NG.
Security ID on Ballot G6375K151
Meeting Date          29-Jul-13
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PROPONENT	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	V
Management	Accept Financial Statements and Statutory Reports	For	F
Management	Approve Final Dividend	For	F
Management	Re-elect Sir Peter Gershon as Director	For	F
Management	Re-elect Steve Holliday as Director	For	F
Management	Re-elect Andrew Bonfield as Director	For	F
Management	Re-elect Tom King as Director	For	F
Management	Re-elect Nick Winser as Director	For	F
Management	Re-elect Philip Aiken as Director	For	F
Management	Re-elect Nora Brownell as Director	For	F
Management	Elect Jonathan Dawson as Director	For	F
Management	Re-elect Paul Golby as Director	For	F
Management	Re-elect Ruth Kelly as Director	For	F
Management	Re-elect Maria Richter as Director	For	F
Management	Elect Mark Williamson as Director	For	F
Management	Reappoint PricewaterhouseCoopers LLP as Auditors	For	F
Management	Authorise Board to Fix Remuneration of Auditors	For	F
Management	Approve Remuneration Report	For	F
Management	Authorise Issue of Equity with Pre-emptive Rights	For	F
Management	Authorise Issue of Equity without Pre-emptive Rights	For	F
Management	Authorise Market Purchase of Ordinary Shares	For	F
Management	Authorise the Company to Call EGM with Two Weeks' Notice	For	F

### Enterprise Products Partners L.P.

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Ticker                EPD
Security ID on Ballot 293792107
Meeting Date          30-Sep-13
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PROPONENT	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	V
Management	Amend Omnibus Stock Plan	For	F
Management	Amend Nonqualified Employee Stock Purchase Plan	For	F

### Plains All American Pipeline LP

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Ticker                PAA
Security ID on Ballot 726503105
Meeting Date          19-Nov-13
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PROPONENT	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	V
Management	Approve Omnibus Stock Plan	For	F
Management	Adjourn Meeting	For	F

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UGI Corporation

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 Ticker UGI  
 Security ID on Ballot 902681105  
 Meeting Date 30-Jan-14  
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PROPOSER	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	V
Management	Elect Director Lon R. Greenberg	For	F
Management	Elect Director Marvin O. Schlanger	For	F
Management	Elect Director Anne Pol	For	F
Management	Elect Director Ernest E. Jones	For	F
Management	Elect Director John L. Walsh	For	F
Management	Elect Director Roger B. Vincent	For	F
Management	Elect Director M. Shawn Puccio	For	F
Management	Elect Director Richard W. Gochnauer	For	F
Management	Elect Director Frank S. Hermance	For	F
Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	F

Spectra Energy Corp

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 Ticker SE  
 Security ID on Ballot 847560109  
 Meeting Date 15-Apr-14  
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PROPOSER	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	V
Management	Elect Director Gregory L. Ebel	For	F
Management	Elect Director Austin A. Adams	For	F
Management	Elect Director Joseph Alvarado	For	F
Management	Elect Director Pamela L. Carter	For	F
Management	Elect Director Clarence P. Cazalot, Jr.	For	F
Management	Elect Director F. Anthony Comper	For	F
Management	Elect Director Peter B. Hamilton	For	F
Management	Elect Director Michael McShane	For	F
Management	Elect Director Michael G. Morris	For	F
Management	Elect Director Michael E. J. Phelps	For	F
Management	Ratify Auditors	For	F
Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	F
Share Holder	Report on Political Contributions	Against	F
Share Holder	Report on Methane Emissions	Against	F

American Electric Power Company, Inc.

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 Ticker AEP  
 Security ID on Ballot 025537101  
 Meeting Date 22-Apr-14  
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PROPOSER	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	V
Management	Elect Director Nicholas K. Akins	For	F
Management	Elect Director David J. Anderson	For	F
Management	Elect Director J. Barnie Beasley, Jr.	For	F
Management	Elect Director Ralph D. Crosby, Jr.	For	F
Management	Elect Director Linda A. Goodspeed	For	F
Management	Elect Director Thomas E. Hoaglin	For	F

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Management	Elect Director Sandra Beach Lin	For	F
Management	Elect Director Richard C. Notebaert	For	F
Management	Elect Director Lionel L. Nowell, III	For	F
Management	Elect Director Stephen S. Rasmussen	For	F
Management	Elect Director Oliver G. Richard, III	For	F
Management	Elect Director Sara Martinez Tucker	For	F
Management	Ratify Auditors	For	F
Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	F

### Northeast Utilities

Ticker	NU
Security ID on Ballot	664397106
Meeting Date	01-May-14

PROPOSER	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	VOTE
Management	Elect Director Richard H. Booth	For	F
Management	Elect Director John S. Clarkeson	For	F
Management	Elect Director Cotton M. Cleveland	For	F
Management	Elect Director Sanford Cloud, Jr.	For	F
Management	Elect Director James S. DiStasio	For	F
Management	Elect Director Francis A. Doyle	For	F
Management	Elect Director Charles K. Gifford	For	F
Management	Elect Director Paul A. La Camera	For	F
Management	Elect Director Kenneth R. Leibler	For	F
Management	Elect Director Thomas J. May	For	F
Management	Elect Director William C. Van Faasen	For	F
Management	Elect Director Frederica M. Williams	For	F
Management	Elect Director Dennis R. Wraase	For	F
Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	F
Management	Ratify Auditors	For	F

### TransCanada Corporation

Ticker	TRP
Security ID on Ballot	89353D107
Meeting Date	02-May-14

PROPOSER	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	VOTE
Management	Elect Director Kevin E. Benson	For	F
Management	Elect Director Derek H. Burney	For	F
Management	Elect Director Paule Gauthier	For	F
Management	Elect Director Russell K. Girling	For	F
Management	Elect Director S. Barry Jackson	For	F
Management	Elect Director Paula Rosput Reynolds	For	F
Management	Elect Director John Richels	For	F
Management	Elect Director Mary Pat Salomone	For	F
Management	Elect Director D. Michael G. Stewart	For	F
Management	Elect Director Siim A. Vanaselja	For	F
Management	Elect Director Richard E. Waugh	For	F
Management	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	For	F
Management	Advisory Vote on Executive Compensation Approach	For	F

### Wisconsin Energy Corporation

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Ticker WEC  
Security ID on Ballot 976657106  
Meeting Date 02-May-14  
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PROPOSER	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	V
Management	Elect Director John F. Bergstrom	For	I
Management	Elect Director Barbara L. Bowles	For	F
Management	Elect Director Patricia W. Chadwick	For	F
Management	Elect Director Curt S. Culver	For	F
Management	Elect Director Thomas J. Fischer	For	F
Management	Elect Director Gale E. Klappa	For	F
Management	Elect Director Henry W. Knueppel	For	F
Management	Elect Director Ulice Payne, Jr.	For	F
Management	Elect Director Mary Ellen Stanek	For	F
Management	Ratify Auditors	For	F
Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	F

Enbridge Income Fund Holdings Inc.

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Ticker ENF  
Security ID on Ballot 29251R105  
Meeting Date 05-May-14  
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PROPOSER	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	V
Management	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	F
Management	Elect Director Richard H. Auchinleck	For	F
Management	Elect Director J. Richard Bird	For	F
Management	Elect Director M. Elizabeth Cannon	For	F
Management	Elect Director Charles W. Fischer	For	F
Management	Elect Director Brian E. Frank	For	F
Management	Elect Director E.F.H. (Harry) Roberts	For	F
Management	Elect Director Bruce G. Waterman	For	F
Management	Approve Increase in Maximum Number of Directors from Seven to Ten	For	F
Management	Approve Shareholder Rights Plan	For	F

NRG Yield, Inc.

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Ticker NYLD  
Security ID on Ballot 62942X108  
Meeting Date 06-May-14  
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PROPOSER	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	V
Management	Elect Director David Crane	For	W
Management	Elect Director John F. Chlebowski	For	F
Management	Elect Director Kirkland B. Andrews	For	W
Management	Elect Director Brian R. Ford	For	F
Management	Elect Director Mauricio Gutierrez	For	W
Management	Elect Director Ferrell P. McClean	For	F
Management	Elect Director Christopher S. Sotos	For	W
Management	Ratify Auditors	For	F

Dominion Resources, Inc.

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Ticker D  
Security ID on Ballot 25746U109  
Meeting Date 07-May-14  
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PROPONENT	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	V
Management	Elect Director William P. Barr	For	F
Management	Elect Director Peter W. Brown	For	F
Management	Elect Director Helen E. Dragas	For	F
Management	Elect Director James O. Ellis, Jr.	For	F
Management	Elect Director Thomas F. Farrell, II	For	F
Management	Elect Director John W. Harris	For	A
Management	Elect Director Mark J. Kington	For	F
Management	Elect Director Pamela J. Royal	For	F
Management	Elect Director Robert H. Spilman, Jr.	For	F
Management	Elect Director Michael E. Szymanczyk	For	F
Management	Elect Director David A. Wollard	For	F
Management	Ratify Auditors	For	F
Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	F
Management	Approve Omnibus Stock Plan	For	F
Share Holder	Stock Retention/Holding Period	Against	F
Share Holder	Report on Financial and Physical Risks of Climate Change	Against	F
Share Holder	Report on Methane Emissions Management and Reduction Targets	Against	F
Share Holder	Report on Lobbying Payments and Policy	Against	A
Share Holder	Report on Environmental Impacts of Biomass and Assess Risks	Against	F
Share Holder	Adopt Quantitative GHG Goals for Products and Operations	Against	F

Enbridge Inc.

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Ticker ENB  
Security ID on Ballot 29250N105  
Meeting Date 07-May-14  
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PROPONENT	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	V
Management	Elect Director David A. Arledge	For	F
Management	Elect Director James J. Blanchard	For	F
Management	Elect Director J. Lorne Braithwaite	For	F
Management	Elect Director J. Herb England	For	F
Management	Elect Director Charles W. Fischer	For	F
Management	Elect Director V. Maureen Kempston Darkes	For	F
Management	Elect Director David A. Leslie	For	F
Management	Elect Director Al Monaco	For	F
Management	Elect Director George K. Petty	For	F
Management	Elect Director Charles E. Shultz	For	F
Management	Elect Director Dan C. Tutcher	For	F
Management	Elect Director Catherine L. Williams	For	F
Management	Ratify PricewaterhouseCoopers LLP as Auditors	For	F
Management	Amend Stock Option Plan	For	F
Management	Approve Shareholder Rights Plan	For	F
Management	Advisory Vote on Executive Compensation Approach	For	F

Inter Pipeline Ltd.

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Ticker IPL  
Security ID on Ballot 45833V109  
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Meeting Date 12-May-14

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PROPOSER	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	VOTER
Management	Fix Number of Directors at Seven	For	F
Management	Elect Director Richard Shaw	For	F
Management	Elect Director David Fesyk	For	F
Management	Elect Director Lorne Brown	For	F
Management	Elect Director Duane Keinick	For	F
Management	Elect Director William Robertson	For	F
Management	Elect Director Brant Sangster	For	F
Management	Elect Director Alison Taylor Love	For	F
Management	Ratify Ernst & Young LLP as Auditors	For	F
Management	Authorize New Class of Preferred Stock (Class A)	For	F
Management	Approve Shareholder Rights Plan	For	F

NiSource Inc.

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Ticker NI  
 Security ID on Ballot 65473P105  
 Meeting Date 13-May-14

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PROPOSER	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	VOTER
Management	Elect Director Richard A. Abdo	For	F
Management	Elect Director Aristides S. Candris	For	F
Management	Elect Director Sigmund L. Cornelius	For	F
Management	Elect Director Michael E. Jesanis	For	F
Management	Elect Director Marty R. Kittrell	For	F
Management	Elect Director W. Lee Nutter	For	F
Management	Elect Director Deborah S. Parker	For	F
Management	Elect Director Robert C. Skaggs, Jr.	For	F
Management	Elect Director Teresa A. Taylor	For	F
Management	Elect Director Richard L. Thompson	For	F
Management	Elect Director Carolyn Y. Woo	For	F
Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	F
Management	Ratify Auditors	For	F
Share Holder	Report on Political Contributions	Against	F

CMS Energy Corporation

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Ticker CMS  
 Security ID on Ballot 125896100  
 Meeting Date 16-May-14

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PROPOSER	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	VOTER
Management	Elect Director Jon E. Barfield	For	F
Management	Elect Director Kurt L. Darrow	For	F
Management	Elect Director Stephen E. Ewing	For	F
Management	Elect Director Richard M. Gabrys	For	F
Management	Elect Director William D. Harvey	For	F
Management	Elect Director David W. Joos	For	F
Management	Elect Director Philip R. Lochner, Jr.	For	F
Management	Elect Director John G. Russell	For	F
Management	Elect Director Kenneth L. Way	For	F
Management	Elect Director Laura H. Wright	For	F
Management	Elect Director John B. Yasinsky	For	F
Management	Advisory Vote to Ratify Named Executive Officers'	For	F

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	Compensation		
Management	Ratify Auditors	For	F
Management	Approve Omnibus Stock Plan	For	F
Management	Approve Executive Incentive Bonus Plan	For	F

### Kinder Morgan, Inc.

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Ticker	KMI
Security ID on Ballot	49456B101
Meeting Date	19-May-14

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PROPONENT	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	
Management	Elect Director Richard D. Kinder	For	F
Management	Elect Director Steven J. Kean	For	F
Management	Elect Director Anthony W. Hall, Jr.	For	F
Management	Elect Director Deborah A. Macdonald	For	F
Management	Elect Director Michael J. Miller	For	F
Management	Elect Director Michael C. Morgan	For	F
Management	Elect Director Fayez Sarofim	For	F
Management	Elect Director C. Park Shaper	For	F
Management	Elect Director Joel V. Staff	For	F
Management	Elect Director John M. Stokes	For	F
Management	Elect Director Robert F. Vagt	For	F
Management	Ratify Auditors	For	F
Share Holder	Report on Financial Risks of Climate Change	Against	F
Share Holder	Report on Methane Emissions Management, Pipeline Maintenance and Reduction Targets	Against	F
Share Holder	Report on Sustainability	Against	F

### Questar Corporation

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Ticker	STR
Security ID on Ballot	748356102
Meeting Date	22-May-14

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PROPONENT	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	
Management	Elect Director Teresa Beck	For	F
Management	Elect Director R. Don Cash	For	F
Management	Elect Director Laurence M. Downes	For	F
Management	Elect Director Christopher A. Helms	For	F
Management	Elect Director Ronald W. Jibson	For	F
Management	Elect Director Rebecca Ranich	For	F
Management	Elect Director Harris H. Simmons	For	F
Management	Elect Director Bruce A. Williamson	For	F
Management	Ratify Auditors	For	F
Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	F

### The Williams Companies, Inc.

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Ticker	WMB
Security ID on Ballot	969457100
Meeting Date	22-May-14

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PROPONENT	PROPOSAL TEXT	MANAGEMENT RECOMMENDATION	
Management	Elect Director Alan S. Armstrong	For	F
Management	Elect Director Joseph R. Cleveland	For	F



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Management	Elect Director Kathleen B. Cooper	For	F
Management	Elect Director John A. Hagg	For	F
Management	Elect Director Juanita H. Hinshaw	For	F
Management	Elect Director Ralph Izzo	For	F
Management	Elect Director Frank T. MacInnis	For	F
Management	Elect Director Eric W. Mandelblatt	For	F
Management	Elect Director Steven W. Nance	For	F
Management	Elect Director Murray D. Smith	For	F
Management	Elect Director Janice D. Stoney	For	F
Management	Elect Director Laura A. Sugg	For	F
Management	Amend Omnibus Stock Plan	For	F
Management	Amend Qualified Employee Stock Purchase Plan	For	F
Management	Ratify Auditors	For	F
Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	F

### CorEnergy Infrastructure Trust, Inc.

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Ticker	CORR
Security ID on Ballot	21870U205
Meeting Date	28-May-14

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		MANAGEMENT	
PROPONENT	PROPOSAL TEXT	RECOMMENDATION	I
Management	Elect Director Barrett Brady	For	F
Management	Elect Director Conrad S. Ciccotello	For	F
Management	Elect Director Catherine A. Lewis	For	F
Management	Ratify Auditors	For	F
Management	Approve Outside Director Stock Awards/Options in Lieu of Cash	For	F

### The Southern Company

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Ticker	SO
Security ID on Ballot	842587107
Meeting Date	28-May-14

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		MANAGEMENT	
PROPONENT	PROPOSAL TEXT	RECOMMENDATION	I
Management	Elect Director Juanita Powell Baranco	For	F
Management	Elect Director Jon A. Boscia	For	F
Management	Elect Director Henry A. 'Hal' Clark, III	For	F
Management	Elect Director Thomas A. Fanning	For	F
Management	Elect Director David J. Grain	For	F
Management	Elect Director Veronica M. Hagen	For	F
Management	Elect Director Warren A. Hood, Jr.	For	F
Management	Elect Director Linda P. Hudson	For	F
Management	Elect Director Donald M. James	For	F
Management	Elect Director Dale E. Klein	For	F
Management	Elect Director William G. Smith, Jr.	For	F
Management	Elect Director Steven R. Specker	For	F
Management	Elect Director E. Jenner Wood, III	For	A
Management	Ratify Auditors	For	F
Management	Advisory Vote to Ratify Named Executive Officers' Compensation	For	F
Share Holder	Require Independent Board Chairman	Against	F

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SIGNATURES

[See General Instruction F]

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) FIRST TRUST MLP AND ENERGY INCOME FUND  
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By (Signature and Title)\* /s/ Mark R. Bradley  
-----  
Mark R. Bradley, President

Date July 21, 2014  
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\* Print the name and title of each signing officer under his or her signature.