

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

FIRST TRUST MLP & ENERGY INCOME FUND

Form NSAR-B

December 23, 2013

PAGE 1  
000 B000000 10/31/2013  
000 C000000 0001556336  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FIRST TRUST MLP AND ENERGY INCOME FUND  
001 B000000 811-22738  
001 C000000 2037620004  
002 A000000 187 Danbury Road  
002 B000000 Wilton  
002 C000000 CT  
002 D010000 06897  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FIRST TRUST ADVISORS L.P.  
008 B000001 A  
008 C000001 801-39950  
008 D010001 Wheaton  
008 D020001 IL  
008 D030001 60187  
008 A000002 Energy Income Partners, LLC  
008 B000002 S  
008 C000002 801-66907  
008 D010002 Westport  
008 D020002 CT  
008 D030002 06880  
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000001 84-1761  
010 C010001 WILMINGTON  
010 C020001 DE  
010 C030001 19809  
011 A000001 MORGAN STANLEY & CO., LLC  
011 B000001 8-15869  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10036  
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
012 B000001 84-1761  
012 C010001 WILMINGTON  
012 C020001 DE  
012 C030001 19809  
013 A000001 DELOITTE & TOUCHE LLP  
PAGE 2  
013 B010001 CHICAGO  
013 B020001 IL  
013 B030001 60606  
013 B040001 4301  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 105  
019 C000000 FIRSTDEFIN  
020 A000001 CREDIT SUISSE FIRST BOSTON CORP.  
020 B000001 13-5659485  
020 C000001 904  
020 A000002 BANK OF NEW YORK  
020 B000002 13-4941102  
020 C000002 480  
020 A000003 BANK OF AMERICA  
020 B000003 13-4078651  
020 C000003 123  
020 A000004 MORGAN STANLEY & CO., INC.  
020 B000004 13-2655998  
020 C000004 74  
020 A000005 WACHOVIA SECURITIES LLC  
020 B000005 34-1542819  
020 C000005 65  
020 A000006 CITIGROUP, INC.  
020 B000006 52-1568099  
020 C000006 64  
020 A000007 SCOTIA MCLEOD  
020 B000007 00-0000000  
020 C000007 45  
020 A000008 ROYAL BANK OF SCOTLAND  
020 C000008 31  
020 A000009 US CAPITAL SECURITIES, INC.  
020 B000009 59-2015850  
020 C000009 12  
020 A000010 BARCLAYS CAPITAL GROUP  
020 C000010 11  
021 000000 1820  
022 A000001 CREDIT SUISSE FIRST BOSTON CORP.  
022 B000001 13-5659485  
022 C000001 169050  
022 D000001 170159  
022 A000002 SCOTIA CAPITAL, INC.  
022 B000002 13-5239583  
022 C000002 77251  
022 D000002 0  
PAGE 3  
022 A000003 MORGAN STANLEY & CO., INC.  
022 B000003 13-2655998  
022 C000003 60000  
022 D000003 0  
022 A000004 MIZUHO SECURITIES USA, INC.  
022 B000004 36-2880742  
022 C000004 30000  
022 D000004 0  
022 A000005 BANK OF NEW YORK CO.  
022 B000005 13-5160382  
022 C000005 22586  
022 D000005 0  
022 A000006 BANK OF AMERICA CORP  
022 B000006 56-2058405  
022 C000006 2281  
022 D000006 0

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

022 A000007 WACHOVIA SECURITIES LLC  
 022 B000007 34-1542819  
 022 C000007 1669  
 022 D000007 0  
 022 A000008 JPMORGAN CHASE & CO.  
 022 B000008 13-2624428  
 022 C000008 1173  
 022 D000008 0  
 023 C000000 366290  
 023 D000000 170159

024 000000 N  
 026 A000000 N  
 026 B000000 N  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
 026 F000000 N  
 026 G010000 N  
 026 G020000 N  
 026 H000000 N

027 000000 N  
 028 A010000 0  
 028 A020000 0  
 028 A030000 0  
 028 A040000 0  
 028 B010000 0  
 028 B020000 0  
 028 B030000 0  
 028 B040000 0  
 028 C010000 0  
 028 C020000 0  
 028 C030000 0  
 028 C040000 0  
 028 D010000 0  
 028 D020000 0

PAGE 4

028 D030000 0  
 028 D040000 0  
 028 E010000 0  
 028 E020000 0  
 028 E030000 0  
 028 E040000 0  
 028 F010000 0  
 028 F020000 0  
 028 F030000 0  
 028 F040000 0  
 028 G010000 0  
 028 G020000 0  
 028 G030000 0  
 028 G040000 0  
 028 H000000 0

030 A000000 0  
 030 B000000 0.00  
 030 C000000 0.00  
 031 A000000 0  
 031 B000000 0  
 032 000000 0  
 033 000000 0  
 035 000000 0  
 036 B000000 0  
 038 000000 0  
 042 A000000 0

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

|     |         |       |
|-----|---------|-------|
| 042 | B000000 | 0     |
| 042 | C000000 | 0     |
| 042 | D000000 | 0     |
| 042 | E000000 | 0     |
| 042 | F000000 | 0     |
| 042 | G000000 | 0     |
| 042 | H000000 | 0     |
| 043 | 000000  | 0     |
| 044 | 000000  | 0     |
| 045 | 000000  | Y     |
| 046 | 000000  | N     |
| 047 | 000000  | Y     |
| 048 | 000000  | 1.000 |
| 048 | A010000 | 0     |
| 048 | A020000 | 0.000 |
| 048 | B010000 | 0     |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0     |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0     |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0     |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0     |
| 048 | F020000 | 0.000 |
|     | PAGE    | 5     |
| 048 | G010000 | 0     |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0     |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0     |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0     |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0     |
| 048 | K020000 | 0.000 |
| 049 | 000000  | N     |
| 050 | 000000  | N     |
| 051 | 000000  | N     |
| 052 | 000000  | N     |
| 053 | A000000 | N     |
| 054 | A000000 | Y     |
| 054 | B000000 | Y     |
| 054 | C000000 | N     |
| 054 | D000000 | N     |
| 054 | E000000 | N     |
| 054 | F000000 | N     |
| 054 | G000000 | Y     |
| 054 | H000000 | Y     |
| 054 | I000000 | N     |
| 054 | J000000 | Y     |
| 054 | K000000 | N     |
| 054 | L000000 | N     |
| 054 | M000000 | Y     |
| 054 | N000000 | Y     |
| 054 | O000000 | Y     |
| 055 | A000000 | N     |
| 055 | B000000 | Y     |
| 056 | 000000  | Y     |
| 057 | 000000  | N     |
| 058 | A000000 | N     |
| 059 | 000000  | Y     |

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

060 A000000 N  
060 B000000 N  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0

PAGE 6

062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 Y  
066 F000000 N  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 Y  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 Y  
070 I020000 N  
070 J010000 Y  
070 J020000 N  
070 K010000 Y  
070 K020000 N  
070 L010000 Y  
070 L020000 Y  
070 M010000 Y  
070 M020000 Y  
070 N010000 Y

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

070 N020000 N  
 070 O010000 Y  
 070 O020000 Y  
 070 P010000 N  
 070 P020000 N  
     PAGE 7  
 070 Q010000 N  
 070 Q020000 N  
 070 R010000 Y  
 070 R020000 N  
 071 A000000 1577408  
 071 B000000 432807  
 071 C000000 1222416  
 071 D000000 35  
 072 A000000 12  
     6  
 072 B000000 6  
 072 C000000 10568  
 072 D000000 0  
 072 E000000 0  
 072 F000000 10731  
 072 G000000 842  
 072 H000000 0  
 072 I000000 24  
 072 J000000 72  
 072 K000000 0  
 072 L000000 79  
 072 M000000 50  
 072 N000000 0  
 072 O000000 -1180  
 072 P000000 2054  
 072 Q000000 0  
 072 R000000 109  
 072 S000000 99  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
 072 W000000 72  
 072 X000000 12952  
 072 Y000000 0  
 072 Z000000 -2378  
 072AA000000 12364  
 072BB000000 0  
 072CC010000 112659  
 072CC020000 0  
 072DD010000 0  
 072DD020000 0  
 072EE000000 11379  
 073 A010000 0.0000  
 073 A020000 0.0000  
 073 B000000 0.2500  
 073 C000000 0.7300  
 074 A000000 44612  
 074 B000000 0  
 074 C000000 0  
 074 D000000 0  
 074 E000000 0  
 074 F000000 493309  
     PAGE 8  
 074 G000000 0  
 074 H000000 0  
 074 I000000 797443  
 074 J000000 6141

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

|     |         |                              |
|-----|---------|------------------------------|
| 074 | K000000 | 0                            |
| 074 | L000000 | 6522                         |
| 074 | M000000 | 31                           |
| 074 | N000000 | 1348058                      |
| 074 | O000000 | 0                            |
| 074 | P000000 | 1069                         |
| 074 | Q000000 | 334000                       |
| 074 | R010000 | 0                            |
| 074 | R020000 | 0                            |
| 074 | R030000 | 3862                         |
| 074 | R040000 | 63978                        |
| 074 | S000000 | 0                            |
| 074 | T000000 | 945149                       |
| 074 | U010000 | 45439                        |
| 074 | U020000 | 0                            |
| 074 | V010000 | 20.80                        |
| 074 | V020000 | 0.00                         |
| 074 | W000000 | 0.0000                       |
| 074 | X000000 | 3                            |
| 074 | Y000000 | 696103                       |
| 075 | A000000 | 0                            |
| 075 | B000000 | 915258                       |
| 076 | 000000  | 19.63                        |
| 077 | A000000 | Y                            |
| 077 | B000000 | Y                            |
| 077 | C000000 | N                            |
| 077 | D000000 | N                            |
| 077 | E000000 | N                            |
| 077 | F000000 | N                            |
| 077 | G000000 | N                            |
| 077 | H000000 | N                            |
| 077 | I000000 | N                            |
| 077 | J000000 | N                            |
| 077 | K000000 | N                            |
| 077 | L000000 | N                            |
| 077 | M000000 | N                            |
| 077 | N000000 | N                            |
| 077 | O000000 | N                            |
| 077 | P000000 | N                            |
| 077 | Q010000 | N                            |
| 077 | Q020000 | N                            |
| 077 | Q030000 | N                            |
| 078 | 000000  | N                            |
| 080 | A000000 | ICI MUTUAL INSURANCE COMPANY |
| 080 | C000000 | 23000                        |
| 081 | A000000 | Y                            |
| 081 | B000000 | 108                          |
|     | PAGE    | 9                            |
| 082 | A000000 | N                            |
| 082 | B000000 | 0                            |
| 083 | A000000 | N                            |
| 083 | B000000 | 0                            |
| 084 | A000000 | N                            |
| 084 | B000000 | 0                            |
| 085 | A000000 | Y                            |
| 085 | B000000 | N                            |
| 086 | A010000 | 0                            |
| 086 | A020000 | 0                            |
| 086 | B010000 | 0                            |
| 086 | B020000 | 0                            |
| 086 | C010000 | 0                            |
| 086 | C020000 | 0                            |

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

|           |                |              |
|-----------|----------------|--------------|
| 086       | D010000        | 0            |
| 086       | D020000        | 0            |
| 086       | E010000        | 0            |
| 086       | E020000        | 0            |
| 086       | F010000        | 0            |
| 086       | F020000        | 0            |
| 087       | A010000        | COMMON STOCK |
| 087       | A020000        | 33739B104    |
| 087       | A030000        | FEI          |
| 088       | A000000        | N            |
| 088       | B000000        | N            |
| 088       | C000000        | N            |
| 088       | D000000        | N            |
| SIGNATURE | JAMES M. DYKAS |              |
| TITLE     | TREASURER      |              |