

GAIN Capital Holdings, Inc.

Form DEFA14A

May 24, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

SCHEDULE 14A

(Rule 14a-101)

INFORMATION REQUIRED IN PROXY STATEMENT

SCHEDULE 14A INFORMATION

Proxy Statement Pursuant to Section 14(a) of the  
Securities Exchange Act of 1934

Filed by the Registrant

Filed by a Party other than the Registrant

Check the appropriate box:

..

Preliminary Proxy Statement

Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))

Definitive Proxy Statement

Definitive Additional Materials

Soliciting Material Under Rule 14a-12

GAIN CAPITAL HOLDINGS, INC.

(Name of Registrant as Specified in its Charter)

(Name of Person(s) Filing Proxy Statement, if Other Than the Registrant)

Payment of Filing Fee (Check the appropriate box):

No fee required.

Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

(1) Title of each class of securities to which transaction applies:

(2) Aggregate number of securities to which transaction applies:

(3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):

(4) Proposed maximum aggregate value of transaction:

(5) Total fee paid:

Fee paid previously with preliminary materials:

Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11(a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.

(1) Amount Previously Paid:

(2) Form, Schedule or Registration Statement No.:

(3) Filing Party:

(4) Date Filed:

\*\*\* Exercise Your Right to Vote \*\*\*

Important Notice Regarding the Availability of Proxy Materials for the Shareholder Meeting to Be Held on July 12, 2018.

Meeting Information

GAIN CAPITAL  
HOLDINGS, INC.

Meeting Type: Annual Meeting  
For holders as of: May 18, 2018  
Date: July 12, 2018 Time: 11:00 A.M.  
Location: Meeting live via the Internet-please  
visit [www.virtualshareholdermeeting.com/gcap2018AM](http://www.virtualshareholdermeeting.com/gcap2018AM).

The company will be hosting the meeting live via the Internet this year. To attend the meeting via the Internet please visit [www.virtualshareholdermeeting.com/gcap2018AM](http://www.virtualshareholdermeeting.com/gcap2018AM) and be sure to have the information that is printed in the box marked by the arrow -->[XXXX XXXX XXXX] (located on the following page).

You are receiving this communication because you hold shares in the company named above.

GAIN CAPITAL  
HOLDINGS, INC.  
C/O  
BROADRIDGE  
1717 ARCH  
STREET, SUITE  
1300  
PHILADELPHIA,  
PA 19103

This is not a ballot. You cannot use this notice to vote these shares. This communication presents only an overview of the more complete proxy materials that are available to you on the Internet. You may view the proxy materials online at [www.proxyvote.com](http://www.proxyvote.com), scan the QR Barcode on the reverse side, or easily request a paper copy (see reverse side).

We encourage you to access and review all of the important information contained in the proxy materials before voting.

See the reverse side of this notice to obtain proxy materials and voting instructions.

- Before You Vote -

How to Access the Proxy Materials

Proxy Materials Available to VIEW or RECEIVE:  
NOTICE AND  
PROXY ANNUAL REPORT  
STATEMENT

How to View Online:

Have the information that is printed in the box marked by the arrow [XXXX XXXX XXXX] (located on the following page) and visit: [www.proxyvote.com](http://www.proxyvote.com), or scan the QR Barcode below.

How to Request and Receive a PAPER or E-MAIL Copy:

If you want to receive a paper or e-mail copy of these documents, you must request one. There is NO charge for requesting a copy. Please choose one of the following methods to make your request:

- 1) By INTERNET [www.proxyvote.com](http://www.proxyvote.com)
- 2) By TELEPHONE 1-800-579-1639
- 3) By E-MAIL\*: [sendmaterial@proxyvote.com](mailto:sendmaterial@proxyvote.com)

\* If requesting materials by e-mail, please send a blank email with the information that is printed in the box marked by the arrow [XXXX XXXX XXXX] (located on the following page) in the subject line. Requests, instructions and other inquiries sent to this e-mail will NOT be forwarded to your investment advisor. Please make the request as instructed above on or before June 28, 2018 to facilitate timely delivery.

How To Vote

Please Choose One of the Following Voting Methods

SCAN TO

VIEW MATERIALS & VOTE

Vote By Internet:

Before The Meeting:

Go to [www.proxyvote.com](http://www.proxyvote.com) or from a smart phone, scan the QR Barcode above. Have the information that is printed in the box marked by the arrow [XXXX XXXX XXXX] (located on the following page) available and follow the instructions.

During The Meeting:

Go to

[www.virtualshareholdermeeting.com/gcap2018AM](http://www.virtualshareholdermeeting.com/gcap2018AM). Have the information that is printed in the box marked by the arrow [XXXX XXXX XXXX] (located on the following page) available and follow the

instructions.

Vote By Mail: You can vote by mail by requesting a paper copy of the materials, which will include a proxy card.

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Voting Items

The Board of Directors  
recommends you vote  
FOR the following:

1. Election of Directors:

Nominees:

1a. Alex Goor

1b. Mark Richards

1c. Christopher Sugden

The Board of Directors  
recommends you vote  
FOR the following

proposals:

2. To ratify the  
appointment of KPMG  
LLP as our independent  
registered public  
accounting firm for the  
year ending December 31,  
2018.

3. To transact such other  
business as may properly  
come before the Annual  
Meeting or any  
adjournment thereof.