## Edgar Filing: Fried Robert N - Form 4

Fried Robe Form 4									
January 23,							PPROVAL		
FOR	UNITED	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							
Check t if no lo subject Section Form 4 Form 5 obligati may co <i>See</i> Insi 1(b).	to 16. or Filed pur tons ntinue.	<b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							
(Print or Type	e Responses)								
1. Name and Address of Reporting Person <u>*</u> Fried Robert N			ol	nd Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 10005 MUIRLANDS BLVD SUITE G			e of Earliest 7 h/Day/Year) /2018	Fransaction	Director 10% Owner Officer (give title Other (specify below) below) PRESIDENT, COO, CSO				
	(Street)		mendment, I Month/Day/Ye	-	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
IRVINE, C	CA 92618				Form filed by M Person	lore than One R	eporting		
(City)	(State)	(Zip) T	able I - Non-	Derivative Securities	Acquired, Disposed of	, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any	Code ) (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price	SecuritiesFBeneficially(1)Owned(1)Following(1)ReportedTransaction(s)(Instr. 3 and 4)	. Ownership Form: Direct D) or Indirect I) Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Ro	eport on a separate line	e for each class of s	ecurities bene	information con required to resp	or indirectly. spond to the collec tained in this form ond unless the form ently valid OMB con	are not n	SEC 1474 (9-02)		
				number.					

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amour
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securi
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired Disposed (Instr. 3, 4 5)	of (D)				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Nurr Shar
Employee Stock Options (right to buy)	\$ 5.85	01/21/2018		A	300,000	)	01/21/2018 <u>(1)</u>	01/21/2028	Common Stock	300

## **Reporting Owners**

<u>\*\*</u>Signature of Reporting Person

<b>Reporting Owner Name / Address</b>				Relationships	
		Director	10% Owner	Officer	Other
Fried Robert N 10005 MUIRLANDS BLV IRVINE, CA 92618			PRESIDENT, COO, CSO		
Signatures					
/s/ Robert Fried	01/23/2018				

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 10/36th of the shares vested on January 21, 2018 and thereafter 1/36th vest on the 12th of each month for the next 26 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.