#### Edgar Filing: MidWestOne Financial Group, Inc. - Form 4/A

MidWestOne Financial Group, Inc. Form 4/A February 18, 2015

February 18,	2015											
FORM			CECUD			~TT 4	NCEO			PROVAL		
Washington, D.C. 20549							OMB Number:	3235-0287				
Check this box if no longer CHANE OF CHANK				-					Expires:	January 31, 2005		
subject to	subject to STATEMENT OF CHAIN				GES IN BENEFICIAL OWN SECURITIES				Estimated a			
Section 1 Form 4 or				SECUK	HIES				burden hours per			
Form 5	Filed purs	suant to S	ection 1	6(a) of the	e Securit	ies E	xchange	e Act of 1934,	response	0.5		
obligation may cont	$^{ns}$ Section 17(a)	a) of the P	ublic Ut	ility Hold	ling Con	ipany	y Act of	1935 or Section	ı			
See Instru		30(h) o	of the In	vestment	Compan	y Ac	t of 194	0				
1(b).												
(Print or Type F	Responses)											
1. Name and A Ortale Gary	ddress of Reporting F			Name and	Ticker or	Tradii	ng	5. Relationship of Issuer	Reporting Pers	on(s) to		
Officie Oury	<b>J</b> 01111		Symbol MidWes	stOne Fin	ancial G	roun	Inc					
			[MOFG			roup	, 1110.	(Check all applicable)				
(Last)	(First) (N	liddle)	3. Date of	Earliest Tr	ansaction			Director		Owner		
				Day/Year)				_X_ Officer (give below)	below)			
102 S. CLINTON STREET, P.O. 02/15/20 BOX 1700				72015				EVP, CFO & Treasurer				
				endment, Date Original				6. Individual or Joint/Group Filing(Check				
			Filed(Mon 02/18/20	th/Day/Year)	)			Applicable Line) _X_ Form filed by C	One Reporting Pe	rson		
IOWA CITY, IA 52244-1700				2015				Form filed by More than One Reporting Person				
(City)	(State) (	Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction Date			3.	4. Securities Acquired			5. Amount of	6. Ownership			
Security (Instr. 3)	(Month/Day/Year)	Execution any	Date, if	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				Securities Beneficially	Form: Direct (D) or	Indirect Beneficial		
(Insu. 5) any (Month/Day/Year)			ay/Year)					Owned	ned Indirect (I) Ownership lowing (Instr. 4) (Instr. 4)			
								Following Reported				
						(A) or		Transaction(s)				
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common Stock	02/15/2015			F	484 <u>(1)</u>	D	\$ 28.75	6,740	D			
Common Stock	02/15/2015			А	1,500 (2)	А	\$0	8,240	D			
Common Stock								2,222 <u>(3)</u>	Ι	By ESOP		
Common Stock								10,000	Ι	By IRAs		
Common Stock								1,500	Ι	By Spousal		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 16.69					<u>(4)</u>	04/01/2018	Common Stock	500	
Employee Stock Option (Right to Buy)	\$ 9.34					<u>(5)</u>	01/22/2019	Common Stock	500	
Employee Stock Option (Right to Buy)	\$ 7.02					<u>(6)</u>	07/16/2019	Common Stock	4,800	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
, e	Director	10% Owner	Officer	Other			
Ortale Gary John			EVP, CFO & Treasurer				
102 S. CLINTON STREET							

P.O. BOX 1700 IOWA CITY, IA 52244-1700

### Signatures

Kenneth R. Urmie, Corporate Secretary, under Power of Attorney dated January 22, 2009

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units withheld to pay payroll taxes due upon vesting and issuance of Restricted Stock Units shares from February 15, 2012, February 15, 2013 and February 15, 2014 awards, issued on February 15, 2015.
- (2) Shares were acquired pursuant to a grant of restricted stock units which vest in four equal annual installments beginning February 15, 2016.
- (3) Shares held in the MidWestOne Financial Group, Inc. Employee Stock Ownership Plan as of February 15, 2015.
- (4) The option vests in four equal annual installments beginning on April 1, 2009.
- (5) The option vests in four equal annual installments beginning on January 22, 2010.
- (6) The option vests in four equal annual installments beginning on July 16, 2010.

#### **Remarks:**

The purpose of this Form 4 Amendment is to correct the total number of shares directly owned in Column 5. The 484 shares for

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

02/18/2015

Date