Edgar Filing: Ortale Gary John - Form 4

Ortale Gary Form 4 January 23,												
FORM	ЛЛ										PPROVAL	
UNITED STATES								NGE C	COMMISSIO	N OMB Number:	3235-0287	
Washington, D.C. 20Check this box if no longer subject to Section 16.STATEMENT OF CHANGES IN BENER SECURITIESForm 4 or Form 5 obligations may continue.STATEMENT OF CHANGES IN BENER SECURITIESForm 5 obligations 					BENEF ITIES e Securit ling Con	ICIA ties E	January 31, 2005 werage rs per 0.5					
(Print or Type	Responses)											
1. Name and A Ortale Gary		Reporting F	erson <u>*</u>	Symbol	Name and stOne Fina]			-	Issuer	of Reporting Pers		
(Mon				(Month/D	Date of Earliest Transaction Month/Day/Year) 1/18/2013				Director 10% Owner X Officer (give title Other (specify below) below) EVP, CFO & Treasurer			
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
IOWA CIT	Y, IA 52	244-1700							Person	More than One Re	porting	
(City)	(State) (Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed	of, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		action Date Day/Year)	Executio any		3. Transactio Code (Instr. 8) Code V	(Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	01/18/2	2013			F	66 <u>(1)</u>	D	\$ 22.52	4,204	D		
Common Stock	01/21/2	2013			F	236 (2)	D	\$ 22.52	3,968	D		
Common Stock	01/22/2	2013			F	29 <u>(3)</u>	D	\$ 22.79	3,939	D		
Common Stock									1,390	Ι	By ESOP	
Common Stock									9,500	Ι	By IRAs	

Edgar Filing: Ortale Gary John - Form 4

Common Stock	1,500	Ι	By Spousal IRA
			IKA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Title and J Underlying S (Instr. 3 and	Securities	8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 16.69					(5)	04/01/2018	Common Stock	500	
Employee Stock Option (Right to Buy)	\$ 9.34					(6)	01/22/2019	Common Stock	500	
Employee Stock Option (Right to Buy)	\$ 7.02					<u>(7)</u>	07/16/2019	Common Stock	4,800	

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other

Ortale Gary John 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700

EVP, CFO & Treasurer

Signatures

Kenneth R. Urmie, under Power of Attorney dated January 22, 2009

**Signature of Reporting Person

01/23/2013

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units withheld to pay payroll taxes due upon vesting and issuance of Restricted Stock Units shares on January 18, 2013.
- (2) Restricted Stock Units withheld to pay payroll taxes due upon vesting and issuance of Restricted Stock Units shares on January 21, 2013.
- (3) Restricted Stock Units withheld to pay payroll taxes due upon vesting and issuance of Restricted Stock Units shares on January 22, 2013.
- (4) Shares are held in MidWestOne Financial Group, Inc. Employee Stock Ownership Plan and allocated to reporting person's account as of December 31, 2012.
- (5) The option vests in four equal annual installments beginning on April 1, 2009.
- (6) The option vests in four equal annual installments beginning on January 22, 2010.
- (7) The option vests in four equal annual installments beginning on July 16, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.