Ortale Gary John Form 4 January 23, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Ortale Gary John

Symbol MidWestOne Financial Group, Inc.

2. Issuer Name and Ticker or Trading

Issuer

(Check all applicable)

(Last)

(Middle) (First)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

102 S. CLINTON STREET, P.O.

01/18/2013

[MOFG]

EVP, CFO & Treasurer

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**BOX 1700** 

(Street)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

IOWA CITY, IA 52244-1700

(City)	(State)	(Zip) <b>Tabl</b>	e I - Non-D	erivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			ed of (D)	5. Amount of Securities Beneficially Owned Following Reported	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	01/18/2013		F	66 (1)	D	\$ 22.52	4,204	D	
Common Stock	01/21/2013		F	236 (2)	D	\$ 22.52	3,968	D	
Common Stock	01/22/2013		F	29 (3)	D	\$ 22.79	3,939	D	
Common Stock							1,390	I	By ESOP
Common Stock							9,500	I	By IRAs

Common			Ву
Stock	1,500	I	Spousal
SIOCK			IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

8. Pri Deriv Secur (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 16.69					<u>(5)</u>	04/01/2018	Common Stock	500
Employee Stock Option (Right to Buy)	\$ 9.34					<u>(6)</u>	01/22/2019	Common Stock	500
Employee Stock Option (Right to Buy)	\$ 7.02					<u>(7)</u>	07/16/2019	Common Stock	4,800

# **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other

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Deletionships

Ortale Gary John 102 S. CLINTON STREET P.O. BOX 1700 IOWA CITY, IA 52244-1700

EVP, CFO & Treasurer

## **Signatures**

Kenneth R. Urmie, under Power of Attorney dated January 22, 2009

01/23/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units withheld to pay payroll taxes due upon vesting and issuance of Restricted Stock Units shares on January 18, 2013.
- (2) Restricted Stock Units withheld to pay payroll taxes due upon vesting and issuance of Restricted Stock Units shares on January 21, 2013.
- (3) Restricted Stock Units withheld to pay payroll taxes due upon vesting and issuance of Restricted Stock Units shares on January 22, 2013.
- (4) Shares are held in MidWestOne Financial Group, Inc. Employee Stock Ownership Plan and allocated to reporting person's account as of December 31, 2012.
- (5) The option vests in four equal annual installments beginning on April 1, 2009.
- (6) The option vests in four equal annual installments beginning on January 22, 2010.
- (7) The option vests in four equal annual installments beginning on July 16, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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