## Edgar Filing: Allison Transmission Holdings Inc - Form 4

Allison Transmission Holdings Inc Form 4 September 03, 2014

FORM	UNITED	STATES		RITIES An ashington			COMMISSION		PPROVA 3235-	-0287
subject toSTATEMENT OF CHARGES IN BENEFICIAL OWNERSHIP OFEstimated averageSection 16.SECURITIESburden hours per							0.5			
(Print or Type ) 1. Name and A Scroggins E	Address of Reporting	Person <u>*</u>	Symbol	er Name <b>an</b> o n Transmis I]		C	5. Relationship o Issuer (Che	of Reporting Per eck all applicabl		
(Last) (First) (Middle) ONE ALLISON WAY (Street)				<ol> <li>Date of Earliest Transaction (Month/Day/Year) 08/29/2014</li> <li>If Amendment, Date Original</li> </ol>			Director 10% Owner X Officer (give title Other (specify below) below) VP, Gen. Counsel and Secretary 6. Individual or Joint/Group Filing(Check			
INDIANAF	POLIS, IN 46222	Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owne	d
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	al ip
Reminder: Rep	port on a separate line	for each cl	ass of sec	urities bene:	Perso inforn requir	ns who res nation cont ed to resp ys a curre	or indirectly. spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Date	Underlying Securities	Derivati
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Security

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	;)	Derivat Securiti Acquire (A) or Dispose of (D) (Instr. 3 4, and 5	ies ed ed 3,	:				(Instr. 5
				Code V	v	(A) (I	D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Dividend Equivalent Rights	<u>(1)</u>	08/29/2014		A		62		<u>(1)</u>	<u>(1)</u>	Common Stock	62	\$ 0

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Scroggins Eric C. ONE ALLISON WAY INDIANAPOLIS, IN 46222			VP, Gen. Counsel and Secretary				
Signatures							

Eric C.	09/03/2014
Scroggins	07/03/2011
<u>**</u> Signature of	Date
Reporting Person	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The dividend equivalent rights accrued on three previously awarded restricted stock units ("RSUs") and vest proportionately with the (1) RSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc.
- (1) KSOS to which they relate. Each dividend equivalent right is the economic equivalent of one share of Anison Transmission Holdings, inc. common stock.
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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.